EIC1700

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Kathleen Fuller, Team Leader, 308-4290, CP3/4 3D62

	ary Results Feedback Form
➤ .	m an examiner in Workgroup: Example: 1713
> .	levant prior art found, search results used as follows:
	102 rejection
	103 rejection
	Cited as being of interest.
	Helped examiner better understand the invention.
•	Helped examiner better understand the state of the art in their technology.
	Types of relevant prior art found:
•-	Foreign Patent(s)
	Non-Patent Literature (journal articles, conference proceedings, new product announcements etc.)
> 1	levant prior art not found:
	Results verified the lack of relevant prior art (helped determine patentability).
	Search results were not useful in determining patentability or understanding the invention
Other C	nments:
•	

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The P indicator for Preparations was not generated for all of the CAS Registry Numbers that were added to the CAS files between 12/27/01 and 1/23/02. As of 1/23/02, the situation has been resolved. Searches and/or SDIs in the H/Z/CA/CAplus files incorporating CAS Registry Numbers with the P indicator executed between 12/27/01 and 1/23/02 may be incomplete. See the NEWS message on this topic for more information.

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=> D QUE L16
L3
               24 SEA FILE=REGISTRY ABB=ON (10043-35-3/BI OR 106-69-4/BI OR
                  107-21-1/BI OR 107-41-5/BI OR 107-88-0/BI OR 111-29-5/BI OR
                  25265-71-8/BI OR 463-79-6/BI OR 50-70-4/BI OR 54289-82-6/BI OR
                  57-55-6/BI OR 64-17-5/BI OR 67-63-0/BI OR 71-23-8/BI OR
                  71-36-3/BI OR 7440-21-3/BI OR 7440-56-4/BI OR 7601-90-3/BI OR
                  7631-86-9/BI OR 7664-39-3/BI OR 7664-93-9/BI OR 7697-37-2/BI
                  OR 7782-99-2/BI OR 78-83-1/BI)
    17 SEA FILE=REGISTRY ABB=ON L3 AND OL acids in claim! 6 SEA FILE=REGISTRY ABB=ON SULFURIC ACID/CN OR BORIC ACID/CN OR
L4
L8
                  CARBONIC ACID/CN OR PERCHLORIC ACID/CN OR SULFUROUS ACID/CN
              14 SEA FILE=REGISTRY ABB=ON L4 NOT (1/S OR 1/SI) — alcoholo 5 SEA FILE=REGISTRY ABB=ON NITRIC ACID/CN OR PHOSPHORIC ACID/CN
L9
L10
                  OR HYDROCHLORIC ACID/CN OR HYDROFLUORIC ACID/CN OR HYDROBROMIC
                  ACID/CN
          222913 SEA FILE=HCAPLUS ABB=ON L9 alcohols
L11
                                             L8 H2504, H37503, H2 CC3, HC104, H2503
L10 HN03 on H3 PG4 on HC1 on HE
           96226 SEA FILE=HCAPLUS ABB=ON
L12
L13
          151500 SEA FILE=HCAPLUS ABB=ON
                                              L11 AND L12 AND L13
            1478 SEA FILE=HCAPLUS ABB=ON
L14
             105 SEA FILE=HCAPLUS ABB=ON L14 AND ?ETCH?
L15
              14 SEA FILE=HCAPLUS ABB=ON L15 AND (COMPNS OR COMPOSITION?)
L16
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=> D L16 ALL 1-14 HITSTR

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ANSWER 1 OF 14 HCAPLUS COPYRIGHT 2002 ACS
L16
     2002:143137 HCAPLUS
ΑN
DN
     136:209068
     Etching compositions for selective chemical mechanical
     planarization of copper, tantalum and tantalum nitride
IN
     Zhang, Fan; Towery, Daniel L.; Levert, Joseph A.; Mukherjee, Shyama P.
SO
     U.S. Pat. Appl. Publ., 10 pp., Cont. of U.S. Ser. No. 357,264.
     CODEN: USXXCO
DT
     Patent
     English
LA
IC
     ICM H01B001-00
     252500000
NCL
CC
     76-3 (Electric Phenomena)
     Section cross-reference(s): 66
FAN.CNT 1
     PATENT NO.
                      KIND DATE
                                           APPLICATION NO.
                      A1 (20020221)
     US 2002020833
                                           US 2000-745266
                                                            20001220
PRAI US 1999-357264
                      A1
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AB Formulations contg. oxidizing agents and coreactants are given for chem. mech. planarization or spin **etch** planarization of surfaces of Cu, Ta and TaN. The chem. formulations may optionally include abrasive particles which may be coated with a chem. reactive species. Contact or

```
noncontact CMP may be performed with the present chem. formulations.
    Substantially 1:1 removal rate selectivity for Cu and Ta/TaN is achieved.
     Preferred compns. contain H3PO4 50-70, acetic acid 24-40, and
    HNO3 3-10 vol. parts and optionally 1-15 vol. parts concd. HF.
ST
    chem mech planarization compn selective copper tantalum nitride;
    oxidant coreactant etching compn planarization copper
    tantalum nitride
ΙT
    Alcohols, processes
    RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical,
     engineering or chemical process); PROC (Process); USES (Uses)
        (aliph.; etching compns. for selective chem. mech.
        planarization of copper, tantalum and tantalum nitride)
IT
    Surfactants
        (anionic; etching compns. for selective chem. mech.
        planarization of copper, tantalum and tantalum nitride)
ΙT
    Surfactants
        (cationic; etching compns. for selective chem.
        mech. planarization of copper, tantalum and tantalum nitride)
IT
        (chem.-mech., planarization; etching compns. for
        selective chem. mech. planarization of copper, tantalum and tantalum
        nitride)
ΙT
    Carboxylic acids, processes
    RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical,
    engineering or chemical process); PROC (Process); USES (Uses)
        (dicarboxylic; etching compns. for selective chem.
        mech. planarization of copper, tantalum and tantalum nitride)
ΙT
    Abrasives
       Etching
     Integrated circuits
    Oxidizing agents
    Stabilizing agents
    Wetting agents
        (etching compns. for selective chem. mech.
        planarization of copper, tantalum and tantalum nitride)
ΙT
    Amines, processes
    Glycols, processes
    Phenols, processes
    Transition metal salts
    RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical,
     engineering or chemical process); PROC (Process); USES (Uses)
        (etching compns. for selective chem. mech.
        planarization of copper, tantalum and tantalum nitride)
ΙT
    Hydrocarbons, processes
     RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical,
     engineering or chemical process); PROC (Process); USES (Uses)
        (fluoro, surfactants; etching compns. for selective
        chem. mech. planarization of copper, tantalum and tantalum nitride)
ΙT
     Surfactants
        (nonionic; etching compns. for selective chem.
        mech. planarization of copper, tantalum and tantalum nitride)
ΙT
     Borides
     Carbides
     Fluorides, processes
     Hydroxides (inorganic)
    Metals, processes
    Nitrides
     Oxides (inorganic), processes
     Polymers, processes
     RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical,
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engineering or chemical process); PROC (Process); USES (Uses) (particles; etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) 1306-38-3, Cerium oxide (CeO2), processes IT RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (abrasive particle coating; etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) IT 64-19-7, Acetic acid, processes 67-56-1, Methanol, processes 71-23-8, Propanol, processes 87-69-4, Tartaric acid 2,6-Di-tert-butyl-4[(dimethylamino)methyl]phenol 107-21-1, Ethylene glycol, processes 119-47-1, Agidol-2 144-62-7, Oxalic acid, processes 288-36-8, 1,2,3-Triazole 288-88-0, 1H-1,2,4-Triazole 288-94-8, 1H-Tetrazole 1303-96-4, Borax 1310-73-2, Sodium hydroxide, 1319-77-3D, Hydroxytoluene, butylated 6915-15-7, Malic acid processes 7647-01-0, Hydrogen chloride, processes 7664-38-2, Phosphoric acid, processes 7664-39-3, Hydrogen fluoride, processes 7664-93-9, Sulfuric acid, processes 7697-37-2 , Nitric acid, processes 7727-54-0, Ammonium peroxydisulfate 7733-02-0, Zinc sulfate (ZnSO4) ((NH4)2S2O8) RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) ΙT 7440-25-7, Tantalum, processes 7440-50-8, Copper, processes 12033-62-4, Tantalum nitride (TaN) RL: CPS (Chemical process); PEP (Physical, engineering or chemical process); PYP (Physical process); REM (Removal or disposal); TEM (Technical or engineered material use); PROC (Process); USES (Uses) (etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) 50-21-5, Lactic acid, uses 57-55-6, Propylene glycol, uses ΙT 60-00-4, EDTA, uses 62-76-0, Sodium oxalate **64-17-5**, Ethanol, uses 68-04-2, Trisodium citrate 75-89-8 77-92-9, Citric ac 89-65-6, Erythorbic acid 95-14-7, 1H-Benzotriazole 102-71-6, 77-92-9, Citric acid, uses 104-75-6, 2-Ethylhexylamine 1310-58-3, Potassium Triethanolamine, uses 1333-39-7, Phenolsulfonic acid 1336-21-6, hydroxide (KOH), uses 1344-28-1, Alumina, uses Ammonium hydroxide ((NH4)(OH)) 3251-23-8, 7440-25-7D, 7439-98-7D, Molybdenum, salts Copper nitrate (Cu(NO3)2) 7440-50-8D, Copper, salts 7447-39-4, Copper chloride Tantalum, salts (CuCl2), uses 7447-40-7, Potassium chloride (KCl), uses 7492-68-4, Copper carbonate 7631-86-9, Silica, uses 7631-99-4, Sodium nitrate, 7705-08-0, Iron chloride (FeCl3), uses 7722-84-1, Hydrogen uses 7758-89-6, Copper chloride (CuCl) 7758-98-7, Copper peroxide, uses 7772-99-8, Tin chloride (SnCl2), uses sulfate (CuSO4), uses 7775-09-9, Sodium chlorate (NaClO3) 7775-27-1, Sodium peroxydisulfate (Na2S2O8) 8061-51-6, Sodium lignosulfonate 9002-89-5, Polyvinyl alcohol 9002-92-0, Poly(oxyethylene)lauryl ether 12125-01-8, Ammonium fluoride 14104-77-9, Iron nitrate 16731-55-8, Potassium pyrosulfite (NH4F) 17084-08-1, Hexafluorosilicate 26053-72-5, Diphenylsulfamic (K2S2O5) acid 27846-09-9, Iron chloride (FeCl) 31247-73-1, Ammonium copper chloride ((NH4)CuCl3) RL: TEM (Technical or engineered material use); USES (Uses) (etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) ΙT 9004-32-4 RL: TEM (Technical or engineered material use); USES (Uses) (gelatinized; etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride)

463-79-6D, Carbonic acid, salts ITRL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (particles; etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) ΙT 71-23-8, Propanol, processes 107-21-1, Ethylene glycol, processes 7647-01-0, Hydrogen chloride, processes 7664-38-2, Phosphoric acid, processes 7664-39-3, Hydrogen fluoride, processes 7664-93-9, Sulfuric acid, processes 7697-37-2, Nitric acid, processes RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) 71-23-8 HCAPLUS RN CN 1-Propanol (9CI) (CA INDEX NAME) $_{\rm H_3C^-CH_2^-CH_2^-OH}$ RN 107-21-1 HCAPLUS CN 1,2-Ethanediol (9CI) (CA INDEX NAME) $HO-CH_2-CH_2-OH$ RN 7647-01-0 HCAPLUS Hydrochloric acid (6CI, 7CI, 8CI, 9CI) (CA INDEX NAME) CN HC1 RN 7664-38-2 HCAPLUS Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME) CN HO-P-OH ОН 7664-39-3 HCAPLUS RN CN Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME) ΗF RN 7664-93-9 HCAPLUS

Sulfuric acid (8CI, 9CI) (CA INDEX NAME)

.6

RN 7697-37-2 HCAPLUS Nitric acid (8CI, 9CI) (CA INDEX NAME) CN

57-55-6, Propylene glycol, uses 64-17-5, Ethanol, uses IT RL: TEM (Technical or engineered material use); USES (Uses) (etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) 57-55-6 HCAPLUS RN

1,2-Propanediol (8CI, 9CI) (CA INDEX NAME) CN

64-17-5 HCAPLUS RN CN Ethanol (9CI) (CA INDEX NAME)

463-79-6D, Carbonic acid, salts IT RL: CPS (Chemical process); NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (particles; etching compns. for selective chem. mech. planarization of copper, tantalum and tantalum nitride) RN 463-79-6 HCAPLUS Carbonic acid (7CI, 8CI, 9CI) (CA INDEX NAME) CN

L16 ANSWER 2 OF 14 HCAPLUS COPYRIGHT 2002 ACS 2001:421781 HCAPLUS ΑN

DN 135:186791

ΤI Stable Surface Coating of Gallium Arsenide with Octadecylthiol Monolayers

Adlkofer, Klaus; Tanaka, Motomu ΑU

Lehrstuhl fuer Biophysik E22, Technische Universitaet Muenchen, Garching, CS D-85748, Germany

Langmuir (2001), 17(14), 4267-4273 SO

UMEZ-ERONINI 09/285773 Page 7 CODEN: LANGD5; ISSN: 0743-7463 PB American Chemical Society DTJournal LA English CC 72-2 (Electrochemistry) Section cross-reference(s): 66, 74, 76 The authors describe the deposition of octadecylthiol (ODT) monolayers on AB highly doped n-GaAs electrode surfaces, which showed high stability both in air and in aq. electrolytes. In the 1st part of this study, four different wet chem. etching procedures were studied to optimize surface treatment before ODT deposition. The chem. compn. of the surface was evaluated by XPS, demonstrating that the photochem. etching procedure (called etch P in this study) can generate a surface enriched with arsenides, which can serve as the binding sites for sulfides. In the 2nd part of this study, the surface prepd. by etch P was coated with an ODT monolayer. The monolayer showed high stability in air, as indicated by the const. ellipsometric thickness. In electrolytes, the stability of the monolayer-coated surface was verified by impedance spectroscopy under zero-current potential (Uj=0 = -360 mV) for >10 h; then the stability of the interface was monitored under different bias potentials. Electrochem. passivation of the GaAs surface was demonstrated for the 1st time under physiol. conditions (in aq. electrolyte, near neutral pH), which allows for the application of GaAs electrodes to biol. systems. ST stable surface coating gallium arsenide octadecylthiol self assembled monolayer; impedance octadecylthiol self assembled monolayer gallium arsenide; capacitance potential relationship self assembled monolayer octadecylthiol gallium arsenide; etching chem photochem gallium arsenide octadecylthiol monolayer deposition IT Passivation (electrochem.; of GaAs in aq. neutral electrolytes: stable surface coating of gallium arsenide with octadecylthiol monolayers) ITCoating materials (octadecylthiol on GaAs) Electric capacitance-potential relationship TΤ Flatband potential (of GaAs with octadecylthiol self assembled monolayers) TT Binding energy Electric impedance Equivalent electric circuits X-ray photoelectron spectra (of GaAs with octadecylthiol self assembled monolayers and effect of surface pretreatment) Etching ΙT (of gallium arsenide in concd. HCl and in HCl/EtOH or H2O2-H2SO4-H2O prior to octadecylthiol self assembled monolayer deposition on GaAs) TT Thickness (of octadecylthiol self assembled monolayers on GaAs) Etching TT (photochem.; of gallium arsenide in aq. HCl soln. prior to self assembled monolayer deposition on GaAs) Self-assembled monolayers IT (stable surface coating of gallium arsenide with octadecylthiol self-assembled monolayers) 64-17-5, Ethanol, uses 7664-93-9, Sulfuric acid, uses TT 7722-84-1, Hydrogen peroxide, uses RL: NUU (Other use, unclassified); PRP (Properties); RCT (Reactant); RACT (Reactant or reagent); USES (Uses)

(etching of gallium arsenide in concd. HCl and in HCl/EtOH or H2O2-H2SO4-H2O prior to octadecylthiol self assembled monolayer

deposition on GaAs)

IT 7647-01-0, Hydrogen chloride, uses

RL: NUU (Other use, unclassified); PRP (Properties); USES (Uses) (photochem. etching of gallium arsenide in aq. HCl soln.

prior to octadecylthiol self assembled monolayer deposition on GaAs)

IT 1303-00-0, Gallium Arsenide, properties 2885-00-9, Octadecylthiol
 RL: PEP (Physical, engineering or chemical process); PRP (Properties);
 PROC (Process)

(stable surface coating of gallium arsenide with octadecylthiol self assembled monolayers in air and in aq. electrolytes)

RE.CNT 49 THERE ARE 49 CITED REFERENCES AVAILABLE FOR THIS RECORD RE

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ΙT
     64-17-5, Ethanol, uses 7664-93-9, Sulfuric acid, uses
     RL: NUU (Other use, unclassified); PRP (Properties); RCT (Reactant); RACT
     (Reactant or reagent); USES (Uses)
         (etching of gallium arsenide in concd. HCl and in HCl/EtOH or
        H2O2-H2SO4-H2O prior to octadecylthiol self assembled monolayer
        deposition on GaAs)
     64-17-5 HCAPLUS
RN
     Ethanol (9CI) (CA INDEX NAME)
CN
_{\rm H3C}-_{\rm CH2}-_{\rm OH}
RN
     7664-93-9 HCAPLUS
CN
     Sulfuric acid (8CI, 9CI) (CA INDEX NAME)
      - OH
     7647-01-0, Hydrogen chloride, uses
ΙT
     RL: NUU (Other use, unclassified); PRP (Properties); USES (Uses)
         (photochem. etching of gallium arsenide in aq. HCl soln.
        prior to octadecylthiol self assembled monolayer deposition on GaAs)
     7647-01-0 HCAPLUS
RN
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CN
HC1
     ANSWER 3 OF 14 HCAPLUS COPYRIGHT 2002 ACS
L16
     2001:64307 HCAPLUS
ΑN
     134:124734
DN
TI
     Compositions and processes for spin etch planarization
     in semiconductor device fabrication
     Levert, Joseph; Towery, Daniel L.
TN
     Alliedsignal Inc., USA
PA
     PCT Int. Appl., 38 pp.
SO
     CODEN: PIXXD2
DT
     Patent
     English
LA
IC
     ICM H01L021-321
     ICS C23F003-06
CC
     76-3 (Electric Phenomena)
FAN.CNT 1
     PATENT NO.
                       KIND DATE
                                             APPLICATION NO.
                            (20010125) *
                       A1
                                             WO 2000-US18723 20000710
             AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE,
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DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX,

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NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT,
             UA, UG, UZ, VN, YU, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
         RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY,
             DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ,
             CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
   X US 2001054706
                      AI 20011227
                                           US 1999-356487
                                                            19990719
PRAI US 1999-356487
                      Α
                            19990719
    The present invention describes methods and chem. compns. for
     the spin etch planarization of surfaces, particularly Cu and Ta.
    An etching soln. is brought into contact with the upper face of
     a spinning wafer through a nozzle, preferably an oscillating nozzle.
     etching soln. has a compn. that oxidizes the spinning
     surface, forming a passivation layer thereon. The etching soln.
     further contains reactants for removing the passivation layer exposing the
    underlying surface to further reaction, leading to the desired
     etching of the surface. The characteristics of the
     etching soln. are adjusted such that reactant diffusion to lower
     regions of the surface limits the rate of etching. Faster
    reaction occurs at higher regions of the surface lying in more rapidly
    moving etching soln. resulting in the desired planarization.
     spin etching polishing semiconductor device fabrication; chem
ST
    mech polishing device fabrication; oxidn etching device
     fabrication
    Alcohols, processes
TT
    RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
    process); PROC (Process); USES (Uses)
        (aliph.; in compns. and processes for spin etch
       planarization in semiconductor device fabrication)
ΙT
     Surfactants
        (anionic; in compns. and processes for spin etch
       planarization in semiconductor device fabrication)
IT
     Surfactants
        (cationic; in compns. and processes for spin etch
       planarization in semiconductor device fabrication)
IT
     Polishing
        (chem.-mech.; compns. and processes for spin etch
       planarization in semiconductor device fabrication)
IT
    Etching
     Integrated circuits
    Semiconductor device fabrication
        (compns. and processes for spin etch planarization
        in semiconductor device fabrication)
TT
     Hydrocarbons, processes
    RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
    process); PROC (Process); USES (Uses)
        (fluoro; in compns. and processes for spin etch
        planarization in semiconductor device fabrication)
IT
    Nozzles
        (for spin etch planarization in semiconductor device
        fabrication)
IT
     Oxidizing agents
    Wetting agents
        (in compns. and processes for spin etch
        planarization in semiconductor device fabrication)
IT
     Amines, processes
    Carboxylic acids, processes
     Gelatins, processes
     Phenols, processes
     RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
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process); PROC (Process); USES (Uses)

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(in compns. and processes for spin etch
        planarization in semiconductor device fabrication)
ΙT
     Passivation
         (in spin etch planarization in semiconductor device
         fabrication)
ΙT
     Surfactants
         (nonionic; in compns. and processes for spin etch
        planarization in semiconductor device fabrication)
ΙT
         (org.; in compns. and processes for spin etch
        planarization in semiconductor device fabrication)
ΙT
     Etching
         (photochem.; for planarization in semiconductor device fabrication)
ΙT
     Oxidation
         (surface; in spin etch planarization in semiconductor device
        fabrication)
                                         7440-50-8, Copper, processes
ΙT
     7440-25-7, Tantalum, processes
     RL: DEV (Device component use); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
         (compns. and processes for spin etch planarization
        of)
     57-55-6, Propyleneglycol, processes 60-00-4, EDTA, processes
ΙT
     62-76-0, Sodium oxalate 64-17-5, Ethanol, processes
                                                                64 - 19 - 7,
     Acetic acid, processes 67-56-1, Methanol, processes
                                                                 68-04-2, Trisodium
                                                            77-92-9,
     citrate 71-23-8, n-Propanol, processes
                                                  75-89-8
     Citric acid, processes
                               87-69-4, Tartaric acid, processes
                                                                        88-27-7,
     2,6-Di-tert-butyl-4-[(dimethylamino)methyl]phenol 89-65-6, Erythorbic
             95-14-7, 1H-Benzotriazole 102-71-6, Triethanolamine, processes
     acid
     104-75-6, 2-Ethylhexylamine 107-21-1, 1,2-Ethanediol, processes
                                     139-33-3 144-62-7, Oxalic acid, processes
     128-37-0, Agidol, processes
     288-36-8, 1,2,3-Triazole 288-88-0, 1H-1,2,4-Triazole 1H-Tetrazole 1303-96-4, Borax 1310-73-2, Sodium hydroxidal
                                                                    288-94-8,
                                         1310-73-2, Sodium hydroxide, processes
     1333-39-7, Phenolsulfonic acid 1336-21-6, Ammonium hydroxide 6915-15-7, Malic acid 7439-98-7D, Molybdenum, salts, processes 7440-25-7D, Tantalum, salts, processes 7440-50-8D, Copper, salts,
     processes
                  7447-40-7, Potassium chloride, processes
                                                                  7631-95-0, Sodium
                  7631-99-4, Sodium nitrate, processes 7647-01-0,
     molybdate
     Hydrogen chloride, processes 7664-38-2, Phosphoric acid,
     processes 7664-39-3, Hydrogen fluoride, processes 7664-93-9, Sulfuric acid, processes 7697-37-2, Nitric
     acid, processes
                         7722-84-1, Hydrogen peroxide, processes
     Zinc sulfate 7758-89-6, Cuprous chloride 7758-98-7, Cupric sulfate,
                  7775-09-9, Sodium chlorate (NaClO3)
                                                            8061-51-6, Sodium
     processes
                        9002-89-5, Polyvinyl alcohol
     lignosulfonate
                                                          9002-92-0,
     Poly(oxyethylene)lauryl ether
                                        9004-32-4, Carboxymethylcellulose
     12125-01-8, Ammonium fluoride
                                        14066-19-4, Monohydrogen phosphate,
                  14265-44-2, Phosphate, processes 16887-00-6, Chloride, 17084-08-1, Hexafluorosilicate 26053-72-5, Diphenylsulfamic
     processes
     processes
                                                89800-24-8, Laprol 602
             27846-09-9, Iron monochloride
     RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
         (in compns. and processes for spin etch
        planarization in semiconductor device fabrication)
RE.CNT
               THERE ARE 10 CITED REFERENCES AVAILABLE FOR THIS RECORD
        10
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(6) Samsung Electronics; DE 19928570 A 1999 HCAPLUS
(7) Sasaki; US 5770095 A 1998 HCAPLUS
(8) Sez Semiconductor-Equipment; EP 0905754 A 1999 HCAPLUS
(9) Ube Ind Ltd; JP 08236615 A 1996 HCAPLUS
(10) Unvala; JOURNAL OF THE ELECTROCHEMICAL SOCIETY 1972, V119(3), P318 HCAPLUS
    57-55-6, Propyleneglycol, processes 64-17-5, Ethanol,
    processes 71-23-8, n-Propanol, processes 107-21-1,
     1,2-Ethanediol, processes 7647-01-0, Hydrogen chloride,
     processes 7664-38-2, Phosphoric acid, processes
     7664-39-3, Hydrogen fluoride, processes 7664-93-9,
     Sulfuric acid, processes 7697-37-2, Nitric acid, processes
    RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
        (in compns. and processes for spin etch
        planarization in semiconductor device fabrication)
     57-55-6 HCAPLUS
RN
     1,2-Propanediol (8CI, 9CI) (CA INDEX NAME)
CN
    ОН
_{\rm H_3C-CH-CH_2-OH}
     64-17-5 HCAPLUS
RN
CN
    Ethanol (9CI) (CA INDEX NAME)
H<sub>3</sub>C- СH<sub>2</sub>- ОН
RN
     71-23-8 HCAPLUS
CN
     1-Propanol (9CI) (CA INDEX NAME)
{\rm H_3C-CH_2-CH_2-OH}
RN
     107-21-1 HCAPLUS
CN
    1,2-Ethanediol (9CI) (CA INDEX NAME)
HO-CH_2-CH_2-OH
RN
     7647-01-0 HCAPLUS
CN
     Hydrochloric acid (6CI, 7CI, 8CI, 9CI) (CA INDEX NAME)
HC1
     7664-38-2 HCAPLUS
RN
     Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME)
CN
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applicants

RN 7664-39-3 HCAPLUS

CN Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME)

HF

RN 7664-93-9 HCAPLUS

CN Sulfuric acid (8CI, 9CI) (CA INDEX NAME)

7697-37-2 HCAPLUS RN

CN Nitric acid (8CI, 9CI) (CA INDEX NAME)

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L16 ANSWER 4 OF 14 HCAPLUS COPYRIGHT 2002 ACS
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AN 2000:725881 HCAPLUS

DN 133:289930

Selective etching of doped from undoped polysilicon in semiconductor device fabrication

Mercaldi, Garry A.; Yates, Donald L. Micron Technology, Inc., USA IN

PΑ

SO PCT Int. Appl., 31 pp.

CODEN: PIXXD2

DTPatent

LA English

IC

ICM H01L021-306 ICS H01L021-3213; C23F001-00

76-3 (Electric Phenomena)

Section cross-reference(s): 21

FAN.CNT 1

	PATENT NO. F			KIND DATE				APPLICATION NO.			Ο.	DATE						
PI WO 2000060651			(A1 20001012) Y			WO 2000-US8939				9	20000405							
		W:	ΑE,	AL,	AM,	AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BY,	CA,	CH,	CN,	CR,	CU,
			CZ,	DE,	DK,	DM,	EE,	ES,	FI,	GB,	GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,
			IS,	JP,	ΚE,	KG,	KP,	KR,	ΚZ,	LC,	LK,	LR,	LS,	ĹT,	LU,	LV,	MD,	MG,
			MK,	MN,	MW,	MX,	NO,	NZ,	PL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,
			ТJ,	TM,	TR,	TT,	ΤZ,	UA,	UG,	UZ,	VN,	YU,	ZA,	ZW,	AM,	ΑZ,	BY,	KG,

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KZ, MD, RU, TJ, TM
         RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE,
             DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF,
             CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                            20010621
    US 2001004553
                       A1
                                           US 1999-285773
                                                             19990405
    EP 1177575
                            20020206
                                           EP 2000-921671
                                                             20000405
                       A1
         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO
                       B1 20011113
   ₹US 6316370
                                           US 2000-644699
                                                             20000824
PRAI US 1999-285773
                       Α
                            19990405
                            20000405
    WO 2000-US8939
                       W
AB
     The present invention provides an etching compn. which
     includes a polyhydric alc. in combination with two inorg. acids.
     Preferably the etching compn. of the present invention
     is a mixt. of a glycol, nitric acid and hydrofluoric acid, with propylene
     glycol being preferred. The etching compn. of the
    present invention achieves a selectivity of greater than 70:1, doped
    material to undoped material. The present invention provides an
     etching formulation which has increased selectivity of doped
     polysilicon to undoped polysilicon and provides an efficient integrated
     circuit fabrication process without requiring time consuming and costly
    processing modifications to the etching app. or prodn. app.
ST
    hydric alc inorg acid selective etching doping silicon;
                                                                        propylene glycul
     propylene glycol nitric hydrofluoric acid etching semiconductor
     device fabrication
ΙT
     Densification
        (BSPG; and selective etching in semiconductor device
        fabrication)
IT
     Annealing
        (and selective etching of doped from undoped polysilicon in
        semiconductor device fabrication)
     Glycols, processes
IT
     RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
        (etchants; in selective etching of doped from
        undoped polysilicon in semiconductor device fabrication)
ΙT
     Borophosphosilicate glasses
     RL: PEP (Physical, engineering or chemical process); TEM (Technical or
     engineered material use); PROC (Process); USES (Uses)
        (in selective etching of doped from undoped polysilicon in
        semiconductor device fabrication)
IT
     Acids, processes
     RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
        (inorg., etchants; in selective etching of doped
        from undoped polysilicon in semiconductor device fabrication)
     Alcohols, processes
IT
     RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
        (polyhydric, etchants; in selective etching of
        doped from undoped polysilicon in semiconductor device fabrication)
IT
     Semiconductor device fabrication
        (selective etching of doped from undoped polysilicon in)
ΙT
     Doping
        (selective etching of doped from undoped polysilicon in
        semiconductor device fabrication)
IT
     Etching
        (selective; of doped from undoped polysilicon in semiconductor device
        fabrication)
ΙT
     7440-56-4, Germanium, processes
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RL: PEP (Physical, engineering or chemical process); TEM (Technical or
              engineered material use); PROC (Process); USES (Uses)
                 (doped; selective etching of)
        IT
              50-70-4, Sorbitol, processes 57-55-6, Propylene glycol,
             processes 64-17-5, Ethanol, processes 67-63-0,
              Isopropanol, processes 71-23-8, Propanol, processes
             71-36-3, n-Butanol, processes 78-83-1, Iso-butanol,
             processes 106-69-4, 1,2,6-Hexanetriol 107-21-1,
              Ethylene glycol, processes 107-41-5, Hexylene glycol
             107-88-0, Butylene glycol 111-29-5, 1,5-Pentanediol
              463-79-6, Carbonic acid, processes 7601-90-3, Perchloric
              acid, processes 7664-39-3, Hydrofluoric acid, processes
             7664-93-9, Sulfuric acid, processes 7697-37-2, Nitric
              acid, processes 7782-99-2, Sulfurous acid, processes
              10043-35-3, Boric acid, processes 25265-71-8,
             Dipropylene glycol 54289-82-6
             RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
             process); PROC (Process); USES (Uses)
                 (etchants; in selective etching of doped from
                 undoped polysilicon in semiconductor device fabrication)
        TΤ
             7631-86-9, Silica, processes
             RL: PEP (Physical, engineering or chemical process); TEM (Technical or
             engineered material use); PROC (Process); USES (Uses)
                 (in selective etching of doped from undoped polysilicon in
                 semiconductor device fabrication)
        TT
             7440-21-3, Silicon, processes
             RL: PEP (Physical, engineering or chemical process); TEM (Technical or
             engineered material use); PROC (Process); USES (Uses)
                 (polycryst.; selective etching of doped from undoped
                 polysilicon in semiconductor device fabrication)
        RE.CNT
                        THERE ARE 9 CITED REFERENCES AVAILABLE FOR THIS RECORD
        RE
        (1) Anon; PATENT ABSTRACTS OF JAPAN 1984, V008(058), PP-261
        (2) Anon; PATENT ABSTRACTS OF JAPAN 1993, V017(681), PP-1660
        (3) Baeslack, W; MATERIALS CHARACTERIZATION 1993, V31(4), P197 HCAPLUS
        (5) Kogyo Gijutsuin; JP 58207009 A 1983 HCAPLUS HBrx, HNO3 and a) concilie (H30H) E+0+0
(6) Sez Semi conduct Forcin Tubobaca TD 0005777
        (6) Sez Semiconduct Equip Zubehoer; EP 0905754 A 1999 HCAPLUS Planarization
(7) Sumitomo Metal Ind Ltd; JP 05231996 A 1993 HF + HNO3 + glycine
(8) Takeuchi Hiroshi; US 5017513 A 1991 HCAPLUS H3P04 and HF and alcohol, col 57/lines 24-27
        (9) Woo, S; US 5518966 A 1996 HCAPLUS — contain Of 1426 IT 50-70-4, Sorbitol, processes 57-55-6, Propylene glycol, processes 64-17-5, Ethanol, processes 67-63-0,
             Isopropanol, processes 71-23-8, Propanol, processes
             71-36-3, n-Butanol, processes 78-83-1, Iso-butanol, processes 106-69-4, 1,2,6-Hexanetriol 107-21-1,
65 496 Ethylene glycol, processes 107-41-5, Hexylene glycol
             107-88-0, Butylene glycol 111-29-5, 1,5-Pentanediol 463-79-6, Carbonic acid, processes 7601-90-3, Perchloric
             acid, processes 7664-39-3, Hydrofluoric acid, processes
             7664-93-9, Sulfuric acid, processes 7697-37-2, Nitric
              acid, processes 7782-99-2, Sulfurous acid, processes
              10043-35-3, Boric acid, processes 25265-71-8,
              Dipropylene glycol 54289-82-6
             RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
             process); PROC (Process); USES (Uses)
                 (etchants; in selective etching of doped from
                 undoped polysilicon in semiconductor device fabrication)
        RN
              50-70-4 HCAPLUS
        CN
              D-Glucitol (9CI) (CA INDEX NAME)
```

Absolute stereochemistry.

RN 57-55-6 HCAPLUS CN 1,2-Propanediol (8CI, 9CI) (CA INDEX NAME)

RN 64-17-5 HCAPLUS CN Ethanol (9CI) (CA INDEX NAME)

 $_{
m H3C-CH2-OH}$

RN 67-63-0 HCAPLUS CN 2-Propanol (9CI) (CA INDEX NAME)

RN 71-23-8 HCAPLUS CN 1-Propanol (9CI) (CA INDEX NAME)

H3C-CH2-CH2-OH

RN 71-36-3 HCAPLUS CN 1-Butanol (9CI) (CA INDEX NAME)

 ${\rm H_{3}C-CH_{2}-CH_{2}-CH_{2}-OH}$

RN 78-83-1 HCAPLUS CN 1-Propanol, 2-methyl- (9CI) (CA INDEX NAME)

СН3 | Н3С-СН-СН2-ОН

RN 106-69-4 HCAPLUS

KATHLEEN FULLER EIC 1700/LAW LIBRARY 308-4290

CN 1,2,6-Hexanetriol (8CI, 9CI) (CA INDEX NAME)

OH
$$|$$
 HO-CH₂-CH-(CH₂)₄-OH

RN 107-21-1 HCAPLUS

CN 1,2-Ethanediol (9CI) (CA INDEX NAME)

RN 107-41-5 HCAPLUS

CN 2,4-Pentanediol, 2-methyl- (8CI, 9CI) (CA INDEX NAME)

$$\begin{array}{c|c} \text{OH} & \text{OH} \\ \mid & \mid \\ \text{Me-CH-CH}_2\text{--C-Me} \\ \mid & \mid \\ \text{Me} \end{array}$$

RN 107-88-0 HCAPLUS

CN 1,3-Butanediol (8CI, 9CI) (CA INDEX NAME)

$$\begin{array}{c} \text{OH} \\ \mid \\ \text{Me-CH-CH}_2\text{--CH}_2\text{--OH} \end{array}$$

RN 111-29-5 HCAPLUS

CN 1,5-Pentanediol (8CI, 9CI) (CA INDEX NAME)

$$HO-(CH_2)_5-OH$$

RN 463-79-6 HCAPLUS

CN Carbonic acid (7CI, 8CI, 9CI) (CA INDEX NAME)

RN 7601-90-3 HCAPLUS

CN Perchloric acid (8CI, 9CI) (CA INDEX NAME)

RN 7664-39-3 HCAPLUS

CN Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME)

HF

RN 7664-93-9 HCAPLUS

CN Sulfuric acid (8CI, 9CI) (CA INDEX NAME)

RN 7697-37-2 HCAPLUS

CN Nitric acid (8CI, 9CI) (CA INDEX NAME)

RN 7782-99-2 HCAPLUS

CN Sulfurous acid (8CI, 9CI) (CA INDEX NAME)

RN 10043-35-3 HCAPLUS

CN Boric acid (H3BO3) (6CI, 8CI, 9CI) (CA INDEX NAME)

RN 25265-71-8 HCAPLUS

CN Propanol, oxybis- (9CI) (CA INDEX NAME)

HO-CH2-CH2-O-CH2-CH2-OH

2 (D1-Me)

54289-82-6 HCAPLUS RN CN 1-Butanol, 3,3'-oxybis- (9CI) (CA INDEX NAME)

Me O-CH-CH2-CH2-OH $Me-CH-CH_2-CH_2-OH$

L16 ANSWER 5 OF 14 HCAPLUS COPYRIGHT 2002 ACS

2000:710501 HCAPLUS

omprible 133:338496

THOM Influence of pre-treatments on the surface condition of 2024-T3 aluminium

HCU4) Ton alloy

HCU4) Tour al

Zhou, X.

Mechanical Engineering Department, Instituto Superior Tecnico, Seccao de Tecnologia Mecanica, Lisbon, 1096, Port. yeun

Transactions of the Institute of Metal Finishing (2000), 78(5), 179-185 CODEN: TIMFA2; ISSN: 0020-2967

Institute of Metal Finishing PΒ

DT Journal

LA English

CC 56-9 (Nonferrous Metals and Alloys)

AB The influences of various pre-treatments of interest in adhesive bonding on the surface condition of 2024-T3 alloy have been examd. In particular, the morphologies of films formed during alk. etching (NaOH), electropolishing (perchloric acid/ethanol), acid pickling (Na2Cr207/ $\underline{\text{H2SO4}}$), and anodizing ($\underline{\text{H3PO4}}$), and the consequences of film growth to the compn. of the alloy, have been detd. using TEM, SEM, and Rutherford backscattering spectroscopy. The pre-treatments result generally in scalloped surfaces, with deeper cavities assocd. originally with second phase particles. The topog. of the surface is generated primarily in the pre-treatment stages, with subsequent anodizing having a lesser influence. Enhanced attack of the alloy in the vicinity of grain boundaries occurs during acid pickling, which appears to be accentuated during subsequent anodizing. Alk. etching and electropolishing produce compact films, resp. about 5-8 and 2-3 nm thick, on the main alloy surface. In contrast, acid pickling produces an open-textured, porous film, about 30 nm thick and of fibrous appearance in section, with a thin barrier layer at the alloy/film interface. The porous films produced by anodizing in phosphoric acid are of typical thickness in the range 200-350 nm, with a 10-18 nm thick, barrier layer. The formation of the various films results in significant enrichment of copper in the alloy to the range 4.2 .times. 1015 to 6.4 .times. 1015 Cu atoms cm-2.

ST aluminum alloy adhesive bonding surface pretreatment; etching pretreatment aluminum alloy adhesive bonding; electropolishing pretreatment aluminum alloy adhesive bonding; pickling pretreatment aluminum alloy adhesive bonding; anodizing pretreatment aluminum alloy adhesive bonding

IT Etching

(alkyline; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT Adhesive bonding

(effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT Polishing

(electrochem., perchloric acid-ethanol; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT Anodization

(in phosphoric acid; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT Pickling

(in sodium chromate-sulfuric acid; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT 1310-73-2, Sodium hydroxide, processes

RL: PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(alkyline etching with; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT 7664-38-2, Phosphoric acid, processes

RL: PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(anodization in; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT 12616-84-1, Aa2024

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)

(effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT 64-17-5, Ethanol, processes

RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(electropolishing in perchloric acid-ethanol; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT 7601-90-3, Perchloric acid, processes

RL: PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(electropolishing in perchloric acid-ethanol; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

IT 7664-93-9, Sulfuric acid, processes 10588-01-9, Sodium chromate
Na2Cr2O7

RL: PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(pickling in sodium chromate-sulfuric acid; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

RE.CNT 16 THERE ARE 16 CITED REFERENCES AVAILABLE FOR THIS RECORD RE

- (1) Buchheit, R; J Electrochem Soc 1997, V144, P2621 HCAPLUS
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- (3) Fishkis, M; Wear 1997, V206, P156 HCAPLUS
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7664-38-2, Phosphoric acid, processes

RL: PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(anodization in; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

RN 7664-38-2 HCAPLUS

CN Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME)

IT 64-17-5, Ethanol, processes

> RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(electropolishing in perchloric acid-ethanol; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

64-17-5 HCAPLUS RN

CN Ethanol (9CI) (CA INDEX NAME)

TΤ 7601-90-3, Perchloric acid, processes

RL: PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(electropolishing in perchloric acid-ethanol; effect of pre-treatments on surface condition of 2024-T3 aluminum alloy for subsequent adhesive bonding)

RN 7601-90-3 HCAPLUS

CN Perchloric acid (8CI, 9CI) (CA INDEX NAME)

IT 7664-93-9, Sulfuric acid, processes

RL: PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(pickling in sodium chromate-sulfuric acid; effect of pre-treatments on

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surface condition of 2024-T3 aluminum alloy for subsequent adhesive
             bonding)
          7664-93-9 HCAPLUS
      RN
     CN
          Sulfuric acid (8CI, 9CI) (CA INDEX NAME)
     L16 ANSWER 6 OF 14 HCAPLUS COPYRIGHT 2002 ACS
          2000:628404 HCAPLUS
      ΑN
      DN
          133:201939
      TI
          Method and system to uniformly etch substrates using an
          etching composition comprising a fluoride ion source and
contains
ong antic
          a hydrogen ion source
acid in
          Christenson, Kurt K.
          Fsi International, Inc., USA
          PCT Int. Appl., 35 pp.
          CODEN: PIXXD2
      DT
          Patent
      LA
          English
      IC
          ICM H01L021-311
          ICS H01L021-306; H01L021-00
      CC
          76-11 (Electric Phenomena)
      FAN.CNT 1
          PATENT NO.
                           KIND DATE
                                                APPLICATION NO.
           _____
                            ____
                                 _____
                                                ______
          WO 2000052747
                          A1
                                 20000908 X
      PI
                                                WO 2000-US5484
                                                                 20000302
              W: CN, JP, KR
              RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
                   PT, SE
                                 19990303
      PRAI US 1999-261786
          The present invention provides methods and a system for uniformly plasma
          'etching substrates. Specifically, the method and system of the
          present invention provide for the nonimmersive contact of the substrate to
          be etched with an etching compn. comprising
          a F- ion source and a H ion source. By using an etching
          compn. comprising such components, not only is the etching
          of traditional substrate materials, i.e., Si oxide, improved, but the
          etching of substrate materials traditionally difficult to
          etch satisfactorily, i.e., Si nitride, is made possible.
      ST
           fluoride hydrogen ion etching app semiconductor device
          fabrication
      IT
          Etching
             Etching apparatus
          Nozzles
           Semiconductor device fabrication
              (method and system to uniformly etch substrates using
              etching compn. comprising fluoride ion source and
              hydrogen ion source)
          Acids, processes
           RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
           process); PROC (Process); USES (Uses)
              (method and system to uniformly etch substrates using
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etching compn. comprising fluoride ion source and
         hydrogen ion source)
 IT
      Etching
          (spray; method and system to uniformly etch substrates using
         etching compn. comprising fluoride ion source and
                                                       organic acio
         hydrogen ion source)
                                      64-19-7, Acetic acid, processes
 IT
      56-81-5, Glycerol, processes
      107-21-1, Ethylene glycol, processes 7647-01-0, Hydrogen
      chloride, processes 7664-93-9, Sulfuric acid, processes 7697-37-2, Nitric acid, processes 7732-18-5, Water, processes
                                       12408-02-5, Hydrogen ion, processes
      12125-01-8, Ammonium fluoride
      16984-48-8, Fluoride, processes
      RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
      process); PROC (Process); USES (Uses)
          (method and system to uniformly etch substrates using
         etching compn. comprising fluoride ion source and
         hydrogen ion source)
 TT
      7664-39-3, Hydrogen fluoride, processes
      RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
      process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent); USES
      (Uses)
          (method and system to uniformly etch substrates using
         etching compn. comprising fluoride ion source and
         hydrogen ion source)
 IT
      12033-89-5, Silicon nitride, processes
      RL: PEP (Physical, engineering or chemical process); RCT (Reactant); TEM
      (Technical or engineered material use); PROC (Process); RACT (Reactant or
      reagent); USES (Uses)
          (method and system to uniformly etch substrates using
         etching compn. comprising fluoride ion source and
         hydrogen ion source)
      7631-86-9, Silicon dioxide, processes
 ΙT
      RL: PEP (Physical, engineering or chemical process); TEM (Technical or
      engineered material use); PROC (Process); USES (Uses)
          (method and system to uniformly etch substrates using
         etching compn. comprising fluoride ion source and
         hydrogen ion source)
 RE.CNT
                THERE ARE 9 CITED REFERENCES AVAILABLE FOR THIS RECORD
 RE
 (1) Christenson, K; SOLID STATE TECHNOLOGY 1997, V40(12), P55 HCAPLUS
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(3) Gaulhofer, E; SOLID STATE TECHNOLOGY 1991, V34(5), P57 HCAPLUS (4) Lee, K; WO 9831768 A 1998 HCAPLUS (5)
X(5) Muraoka, H; US 5681398 A 1997 HCAPLUS - Contains H, O
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 (7) Schnegg, A; US 4971654 A 1990 HCAPLUS
 (8) Stmicroelectronics Sa; FR 2769248 A 1999 HCAPLUS
 (9) Ziger, D; US 5472562 A 1995 HCAPLUS H3 PO4, Ht, and HWO3) does specify alcoholist 107-21-1, Ethylene glycol, processes 7647-01-0, Hydrogen 3)
      chloride, processes 7664-93-9, Sulfuric acid, processes
      7697-37-2, Nitric acid, processes
      RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
      process); PROC (Process); USES (Uses)
          (method and system to uniformly etch substrates using
         etching compn. comprising fluoride ion source and
         hydrogen ion source)
      107-21-1 HCAPLUS
 RN
      1,2-Ethanediol (9CI) (CA INDEX NAME)
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UMEZ-ERONINI 09/285773
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Page 24

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RN 7647-01-0 HCAPLUS

CN Hydrochloric acid (6CI, 7CI, 8CI, 9CI) (CA INDEX NAME)

HC1

RN 7664-93-9 HCAPLUS

CN Sulfuric acid (8CI, 9CI) (CA INDEX NAME)

RN 7697-37-2 HCAPLUS

CN Nitric acid (8CI, 9CI) (CA INDEX NAME)

IT 7664-39-3, Hydrogen fluoride, processes

RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); RCT (Reactant); PROC (Process); RACT (Reactant or reagent); USES (Uses)

(method and system to uniformly **etch** substrates using **etching compn.** comprising fluoride ion source and hydrogen ion source)

RN 7664-39-3 HCAPLUS

CN Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME)

 ${\tt HF}$

L16 ANSWER 7 OF 14 HCAPLUS COPYRIGHT 2002 ACS

AN 1999:21615 HCAPLUS

DN 130:87970

TI Method for producing a micro optical semiconductor lens

IN Tran, Dean; Anderson, Eric R.; Strijek, Ronald L.; Rezek, Edward A.

PA TRW Inc., USA

SO U.S., 13 pp.

CODEN: USXXAM

DT Patent

LA English

IC ICM H01L027-14

ICS H01L031-0304

NCL 430321000

CC 73-11 (Optical, Electron, and Mass Spectroscopy and Other Related Properties)

Section cross-reference(s): 76 FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE ----19981229× PI 12 Us 1998-40636 19980318 ______ US 5853960 A JP 11298046 A2 PΙ A2 19991029🗶 JP 1998-372169 19981228 PRAI US 1998-40636 19980318 Methods for fabricating Group III-V semiconductor microlenses for hybrid integration with microoptical devices are described which entail forming lenses from a semiconductor wafer by selectively etching a surface of the semiconductor wafer and forming a lens arm from the semiconductor wafer on a surface opposite the surface by selectively etching the surface of the semiconductor wafer. The lens and lens
arm may then be cleaved from the substrate wafer and directly mounted to a microoptical device. The lens may be provided with an antireflective coating and the lens arm may be metalized prior to cleaving. The etching step may be a wet or dry etch. By using a semiconductor material to form the lenses the thermal stability of the integrated systems is enhanced over conventional systems. ST semiconductor microlens prodn IT Dry etching Photoresists Plasma etching Reactive ion etching Selective etching (in semiconductor microlens prodn.) ΙT Microlenses (semiconductor microlens prodn.) Semiconductor device fabrication ΙT (semiconductor microlens prodn. in) 7783-40-6, Magnesium fluoride 13709-52-9, Hafnium fluoride RL: DEV (Device component use); PEP (Physical, engineering or chemical ΙT process); PROC (Process); USES (Uses) (antireflective coating contg.; semiconductor microlens prodn.) 64-19-7, Acetic acid, uses 67-56-1, Methanol, uses 67-63-0, Isopropanol, uses 74-82-8, Methane, uses 74-88-4, Methyl iodide, uses 75-03-6, Ethyl iodide 75-30-9, 2-Iodopropane 75-71-8, Dichlorodifluoromethane 76-16-4, Hexafluoroethane 77-92-9, Citric acid, uses 1333-74-0, Hydrogen, uses 1336-21-6, Ammonium hydroxide 7440-37-1, Argon, uses 7647-01-0, Hydrochloric acid, uses 7664-38-2, Phosphoric acid, uses 7664-39-3, Hydrofluoric acid, uses 7664-93-9, Sulfuric acid, uses 7697-37-2, Nitric acid:, uses 7705-08-0, Iron chloride (FeCl3), uses 7722-84-1, Hydrogen peroxide, uses 7726-95-6, Bromine, uses 7758-05-6 7778-50-9, Potassium dichromate 7782-44-7, Oxygen, uses 7782-50-5, Chlorine, uses 7789-58-4, Iodine bromide (IBr3) 10035-10-6, Hydrobromic acid, uses RL: NUU (Other use, unclassified); USES (Uses) (etchant contg.; in semiconductor microlens prodn.) 1344-28-1, Aluminum oxide, uses 7631-86-9, Silicon dioxide, uses TΤ 12033-89-5, Silicon nitride, uses RL: DEV (Device component use); NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (mask compns. and antireflective coatings contg.; in semiconductor microlens prodn.) ΙT 111-15-9, 2-Ethoxyethylacetate 123-86-4, n-Butyl acetate 999-97-3, Hexamethyldisilazane 1330-20-7, Xylene, uses RL: NUU (Other use, unclassified); USES (Uses) (mask compns. contg.; in semiconductor microlens prodn.) ΙT 7440-06-4, Platinum, uses 7440-32-6, Titanium, uses 7440-57-5, Gold,

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uses
     RL: DEV (Device component use); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
         (metalization; semiconductor microlens prodn.)
IT
     1303-00-0, Gallium arsenide, uses 1303-11-3, Indium arsenide, uses
     12063-98-8, Gallium phosphide, uses 22398-80-7, Indium phosphide, uses
     RL: DEV (Device component use); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
         (semiconductor microlens prodn.)
RE.CNT
        12
               THERE ARE 12 CITED REFERENCES AVAILABLE FOR THIS RECORD
ŔĔ
(1) Anon; JP 07-030082 1995 HCAPLUS
(2) Anon; Appl Phys Lett 1983, V43, P624
(3) Anon; Electronics Letters 1988, V24(2), P109
(4) Anon; IEEE Electronic Components and Technology Conference 1997, P7
(5) Anon; Optical Engineering 1994, V33(11), P3547
(6) Anon; Optical Engineering 1994, V33(11), P3552
(7) Anon; Optical Engineering 1994, V33(11), P3578
(8) Anon; Optical Engineering 1997, V33(11), P1095
(9) Anon; SPIE 1995, V2383, P310
(10) Basavanhally; US 5346583 1994
(11) Brewer; US 5018164 1991
(12) Wakabayshi; US 5316640 1994 HCAPLUS
     67-63-0, Isopropanol, uses 7647-01-0, Hydrochloric acid,
     uses 7664-38-2, Phosphoric acid, uses 7664-39-3,
     Hydrofluoric acid, uses 7664-93-9, Sulfuric acid, uses
     7697-37-2, Nitric acid:, uses 10035-10-6, Hydrobromic
     acid, uses
     RL: NUU (Other use, unclassified); USES (Uses)
         (etchant contg.; in semiconductor microlens prodn.)
     67-63-0 HCAPLUS
CN
     2-Propanol (9CI)
                       (CA INDEX NAME)
     OH
H3C-CH-CH3
RN
     7647-01-0 HCAPLUS
     Hydrochloric acid (6CI, 7CI, 8CI, 9CI) (CA INDEX NAME)
CN
HCl
     7664-38-2 HCAPLUS
RN
     Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME)
CN
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RN 7664-39-3 HCAPLUS .
CN Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME)

HF

RN 7664-93-9 HCAPLUS
CN Sulfuric acid (8CI, 9CI) (CA INDEX NAME)

RN 7697-37-2 HCAPLUS

CN Nitric acid (8CI, 9CI) (CA INDEX NAME)

RN 10035-10-6 HCAPLUS

CN Hydrobromic acid (8CI, 9CI) (CA INDEX NAME)

HBr

·L16 ANSWER 8 OF 14 HCAPLUS COPYRIGHT 2002 ACS

AN 1998:813611 HCAPLUS

DN 130:89201

TI Production method of solder bumps for flip-chip mounting of semiconductor device, solder bumps themselves, and their analysis

IN Huh, Nam-Joong; Kwon, Yong-Hwan; Park, Jong-Han NSes DI HO
PA Samsung Electronics Co., Ltd., S. Korea

SO Jpn. Kokai Tokkyo Koho, 11 pp.

CODEN: JKXXAF

DT Patent

LA Japanese

IC ICM H01L021-60

ICS H01L021-60; G01N021-88; H01L023-12

CC 76-3 (Electric Phenomena)

Section cross-reference(s): 79

FAN.CNT 1

L'MIA'	CIVI I							
	PATENT NO.		DATE	APPLICATION NO.	DATE			
ΡI	JP 10335364	A2	19981218	JP 1998-47948	19980227	1		
	JP 3004959	B2	20000131 _x			1-1-57		
	US 6013572	A	20000111 💃	US 1998-63716	19980421	cals, lines 1-5%		
PRAI	KR 1997-21022	A	19970527					

AB The title method involves forming a boundary film on a semiconductor substrate by a photolithog. method, removing the photoresist used in the photolithog. method from the desired regions of the boundary film, successively plating the regions exposed by the removal with Ag and Sn, completely removing the photoresist, etching the boundary film exposed by the complete removal, and reflowing the platings to obtain an

alloy having a desired **compn**. Specifically, the boundary film may comprise a layer of Ti, Cr, and/or Ti-W and a layer of Cu and/or Ni. A method for anal. involves cutting solder bumps to a desired size, and mounting on an epoxy resin to obtain a sample for, e.g., electron microprobe anal.

ST solder bump semiconductor device electron microprobe analysis; electroplating photolithog **etching** solder bump

IT Etching

Photolithography

(in prodn. and anal. of solder bumps for flip-chip mounting of $semiconductor\ device$)

IT Electroplating

(of silver and titanium in prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device)

IT Electron microprobe analysis

(of solder bumps for flip-chip mounting of semiconductor device)

IT Solders

(silver and tin; prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device)

IT Bump contacts

(solder; prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device)

TT 7440-02-0, Nickel, processes 7440-32-6, Titanium, processes 7440-47-3,
 Chromium, processes 7440-50-8, Copper, processes 12642-02-3
 RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(boundary film; in prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device)

IT 67-56-1, Methanol, uses 7647-01-0, Hydrogen chloride, uses 7664-39-3, Hydrogen fluoride, uses 7664-93-9, Sulfuric acid, uses 7697-37-2, Nitric acid, uses 7722-84-1, Hydrogen peroxide, uses

RL: TEM (Technical or engineered material use); USES (Uses) (etchant in prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device)

IT 67-63-0, Isopropanol, uses

RL: TEM (Technical or engineered material use); USES (Uses) (for resist removal in prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device)

TT 7647-01-0, Hydrogen chloride, uses 7664-39-3, Hydrogen fluoride, uses 7664-93-9, Sulfuric acid, uses 7697-37-2, Nitric acid, uses

RL: TEM (Technical or engineered material use); USES (Uses) (etchant in prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device)

RN 7647-01-0 HCAPLUS

CN Hydrochloric acid (6CI, 7CI, 8CI, 9CI) (CA INDEX NAME)

HCl

RN 7664-39-3 HCAPLUS

CN Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME)

HF

RN 7664-93-9 HCAPLUS CN Sulfuric acid (8CI, 9CI) (CA INDEX NAME)

7697-37-2 HCAPLUS RN CN Nitric acid (8CI, 9CI) (CA INDEX NAME)

IΤ 67-63-0, Isopropanol, uses RL: TEM (Technical or engineered material use); USES (Uses) (for resist removal in-prodn. and anal. of solder bumps for flip-chip mounting of semiconductor device) RN67-63-0 HCAPLUS

CN 2-Propanol (9CI) (CA INDEX NAME)

L16

ANSWER 9 OF 14 HCAPLUS COPYRIGHT 2002 ACS 1998:527177 HCAPLUS ΑN DN 129:163555 Composition for cleaning and etching electronic display and substrate Lee, Ke Won PA S. Korea SO PCT Int. Appl., 36 pp.

CODEN: PIXXD2 DT Patent LA English

C09K013-04; C03C015-00; C23F001-24; H01L021-306; H01L021-465 IC 49-11 (Industrial Inorganic Chemicals) Section cross-reference(s): 46, 56, 74, 76

FAN.CNT 2

PATENT NO. KIND DATE APPLICATION NO. DATE 19980723 WO 9831768 A1 WO 1998-KR11 19980121 PΤ W: CN, JP, US RW: AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE 19990127 ⊀ EP 1998-901121 19980121 EP 892840 A1 R: DE, FR, GB, NL

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CN 1216059
                            19990505
                                           CN 1998-800045
                       Α
                                                             19980121
     JP 2000507304
                       T2
                            20000613
                                           JP 1998-534157
                                                            19980121
                            20010227 DIN6 US 1998-142750
     US 6194365
                       В1
                                                            19980915
PRAI KR 1997-1539
                       Α
                            19970121
     KR 1997-53384
                            19971017
                       Α
    WO 1998-KR11
                       W
                            19980121
    This invention relates to a compn. for cleaning and
AB
     etching the surface in fabricating electronic displays and the
     substrates. Specifically this invention relates to a compn. to
     effectively remove the contaminants by cleaning, to remove any
     contaminants on the surface, and to etch SiO2 and Si substrate
     in the fabrication process of electronic displays, quartz devices, wafer,
     and semiconductor wafer. According to this invention, it is possible to
     clean and etch more efficiently and conveniently. Also the
     surface roughness is improved. Further the compn. of this
     invention can be made available in powder type for prepg. a defined amt.
              It provides the conveniences in transportation, handling and
     of soln.
     storage.
ST
     electronic display cleaning etching compn; quartz
     device cleaning etching compn; etching
     cleaning compn semiconductor wafer
ΙT
    Cleaning
        (chem.; compns. for cleaning and etching electronic
        display and substrate)
IT
     Detergents
       Etching
    Lithography
    Optical imaging devices
     Semiconductor materials
     Surfactants
        (compns. for cleaning and etching electronic
        display and substrate)
     Cast aluminum alloys
IT
     RL: DEV (Device component use); USES (Uses)
        (compns. for cleaning and etching electronic
        display and substrate)
IT
     Fluoro hydrocarbons
     RL: MOA (Modifier or additive use); USES (Uses)
        (compns. for cleaning and etching electronic
        display and substrate)
IT
     Fluorides, uses
     RL: NUU (Other use, unclassified); USES (Uses)
        (compns. for cleaning and etching electronic
        display and substrate)
IT
     Sulfonates
     RL: NUU (Other use, unclassified); USES (Uses)
        (compns. for cleaning and etching electronic
        display and substrate)
ΙT
     Sulfonic acids, uses
     RL: NUU (Other use, unclassified); USES (Uses)
        (compns. for cleaning and etching electronic
        display and substrate)
ΙT
     7429-90-5, Aluminum, uses
                                 7440-21-3, Silicon, uses
                                                            14808-60-7, Quartz,
     RL: DEV (Device component use); USES (Uses)
        (compns. for cleaning and etching electronic
        display and substrate)
IT
     7631-86-9, Silica, uses
     RL: MOA (Modifier or additive use); USES (Uses)
        (compns. for cleaning and etching electronic
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display and substrate) IT 62-76-0, Sodium oxalate 63-74-1, Sulfonyl amide 64-19-7, Acetic acid, uses 67-63-0, 2-Propanol, uses 97-05-2, Sulfosalicylic acid 144-62-7, Oxalic acid, uses 831-54-9, Sodium sulfosalicylate 1333-83-1, Sodium bifluoride 1341-49-7, Ammonium bifluoride 5329-14-6, 6009-70-7, Ammonium oxalate monohydrate Sulfamic acid 6100-20-5 6484-52-2, Ammonium nitrate, uses 6487-48-5, Potassium oxalate monohydrate 7631-99-4, Sodium nitrate, uses 7664-38-2, Phosphoric acid, uses 7664-93-9, Sulfuric acid, uses 7681-49-4, Sodium fluoride, uses 7727-21-1, Potassium persulfate 7727-54-0, Ammonium persulfate 7757-79-1, Potassium nitrate, uses 7773-06-0, Ammonium sulfamate 7775-27-1, Sodium persulfate 7787-7787-32-8. 7789-29-9, Potassium Barium fluoride 7789-23-3, Potassium fluoride bifluoride 10022-31-8, Barium nitrate 12125-01-8, Ammonium fluoride 13826-83-0, Ammonium fluoroborate RL: NUU (Other use, unclassified); USES (Uses) (compns. for cleaning and etching electronic display and substrate) IT 67-63-0, 2-Propanol, uses 7664-38-2, Phosphoric acid, uses 7664-93-9, Sulfuric acid, uses RL: NUU (Other use, unclassified); USES (Uses) (compns. for cleaning and etching electronic display and substrate) 67-63-0 HCAPLUS RN CN 2-Propanol (9CI) (CA INDEX NAME)

RN 7664-38-2 HCAPLUS CN Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME)

RN 7664-93-9 HCAPLUS CN Sulfuric acid (8CI, 9CI) (CA INDEX NAME)

L16 ANSWER 10 OF 14 HCAPLUS COPYRIGHT 2002 ACS
AN 1992:154261 HCAPLUS
DN 116:154261

TI Acidic liquid composition and process for cleaning aluminum IN Aoki, Tomoyuki; Iino, Yasuo; Ono, Yoji; Asai, Shinichiro

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PA
     Henkel K.-G.a.A., USA
SO
     PCT Int. Appl., 16 pp.
     CODEN: PIXXD2
DT
     Patent
LA
     English
IC
     ICM C23G001-12
     46-6 (Surface Active Agents and Detergents)
CC
     Section cross-reference(s): 56
FAN.CNT 1
     PATENT NO.
                       KIND
                              DATE
                                              APPLICATION NO.
                                                                 DATE
PΙ
     WO 9119830
                        A1
                              19911226
                                                                 19910614
                                              WO 1991-US4263
         W: AU, BR, CA, US
                                                                            H2504, HNOZAH3RY
         RW: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LU, NL, SE
     JP 04052289
                        Α2
                              19920220
                                              JP 1990-160442
                                                                 19900619
     JP 07122152
                        В4
                              19951225
     CA 2084302
                        AΑ
                              19911220
                                              CA 1991-2084302
                                                                 19910614
     AU 9180524
                        Α1
                              19920107
                                              AU 1991-80524
                                                                 19910614
     AU 646268
                        В2
                              19940217
                                                                19910614 H2G and H3pon, H2SQ and/or H14
     EP 533803
                              19930331
                                              EP 1991-911677
                        A1'
     EP 533803
                              19951004
                        В1
         R: AT, DE, ES, FR, GB, IT, SE
     BR 9106557
                        Α
                              19930601
                                              BR 1991-6557
                                                                 19910614
     AT 128740
                        Ε
                              19951015
                                                                 19910614
                                              AT 1991-911677
     ES 2080322
                        Т3
                              19960201
                                              ES 1991-911677
                                                                 19910614
                                                                 19921221 consists of Ho
     US 5336425
                        Α
                              19940809
                                              US 1992-971924
PRAI JP 1990-160442
                              19900619
     WO 1991-US4263
                              19910614
     The title compn., contg. mineral acid, oxidant, polyvalent metal
     ions, and surfactant and useful for removing smut and scale and
     etching Al and Al alloy surfaces, is stabilized against loss of
     cleaning power by adding .gtoreq.1 C2-10 glycol. A compn. contg. H3PO4 6, H2SO4 9, HNO3 1.0, Fe3+ 0.05, SO42- 0.13, H2O2 0.5, propylene glycol (1) 0.5, and nonionic surfactants 2.0 g/L showed
     surfactant decompn. during 72 h at 75.degree. 15%, vs. 40 without I.
ST
     phosphoric acid cleaning aluminum; sulfuric acid cleaning aluminum; nitric
     acid cleaning aluminum; glycol stabilizer acid cleaning aluminum;
     propylene glycol stabilizer acid cleaning; nonionic surfactant stabilizer
     acid cleaning
ΙT
     Acids, uses
     RL: USES (Uses)
        (cleaners contg. nonionic surfactants and, for aluminum, stabilizers
IT
     Stabilizing agents
        (glycols, for cleaners contg. acids and nonionic surfactants, for
        aluminum)
ΙT
     Etching
        (of aluminum, acid solns. contg. nonionic surfactants for, stabilizers
        for)
ΙT
     Glycols, uses
     RL: USES (Uses)
        (stabilizers, in acid- and nonionic surfactant-contg. cleaners for
        aluminum)
TT
     Detergents
        (cleaning compns., acid- and nonionic surfactant-contg., for
        aluminum, stabilizers for)
IT
     9003-11-6D, Ethylene oxide-propylene oxide copolymer, monoalkyl ethers
     9016-45-9, Polyethylene glycol mono(nonylphenyl) ether. 10028-22-5,
     Ferric sulfate
     RL: USES (Uses)
```

(cleaners contg. acids and, for aluminum, stabilizers for) 7664-38-2, Phosphoric acid, miscellaneous 7664-93-9, ΙT Sulfuric acid, miscellaneous 7697-37-2, Nitric acid, miscellaneous RL: MSC (Miscellaneous) (cleaners contg. nonionic surfactants and, for aluminum, stabilizers for) ΙT 7429-90-5, Aluminum, miscellaneous RL: MSC (Miscellaneous) (cleaners for, acid- and nonionic surfactant-contg., stabilizers for) 57-55-6, Propylene glycol, uses 107-21-1, Ethylene ΙT glycol, uses 111-46-6, Diethylene glycol, uses 112-27-6, Triethylene glycol RL: USES (Uses) (stabilizers, for acid- and nonionic surfactant-contg. cleaners for aluminum) ΙT 7664-38-2, Phosphoric acid, miscellaneous 7664-93-9, Sulfuric acid, miscellaneous 7697-37-2, Nitric acid, miscellaneous RL: MSC (Miscellaneous) (cleaners contg. nonionic surfactants and, for aluminum, stabilizers for) RN 7664-38-2 HCAPLUS Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME) CN — OH ОН RN 7664-93-9 HCAPLUS Sulfuric acid (8CI, 9CI) (CA INDEX NAME) CNOH 7697-37-2 HCAPLUS RN Nitric acid (8CI, 9CI) (CA INDEX NAME) CN ΙT 57-55-6, Propylene glycol, uses 107-21-1, Ethylene glycol, uses RL: USES (Uses) (stabilizers, for acid- and nonionic surfactant-contg. cleaners for

1,2-Propanediol (8CI, 9CI) (CA INDEX NAME)

aluminum)

57-55-6 HCAPLUS

RN

CN

```
ОН
_{\rm H3C-CH-CH2-OH}
RN
     107-21-1 HCAPLUS
CN
     1,2-Ethanediol (9CI) (CA INDEX NAME)
HO-CH2-CH2-OH
L16 ANSWER 11 OF 14 HCAPLUS COPYRIGHT 2002 ACS
     1990:228025 HCAPLUS
DN
     112:228025
TI
     Etching-ink compositions for forming transparent
     electrode patterns
     Matsuda, Tsutomu
IN
     Ricoh Co., Ltd., Japan
PΑ
SO
     Jpn. Kokai Tokkyo Koho, 4 pp.
     CODEN: JKXXAF
DT
     Patent
LA
     Japanese
IC
     ICM C23F001-30
     ICS H01B013-00; H01L021-28; H01L021-308
CC
     76-2 (Electric Phenomena)
FAN.CNT 1
                                                                       limited to one acid
                                                            DATE
     PATENT NO.
                      KIND DATE
                                           APPLICATION NO.
                     ---
                            _____
                                           _____
     JP 01147078 A2
                            19890608
                                           JP 1987-305462
PΙ
                                                            19871202
     The title compns. include glycols or their ethers, and an acid
AB
     or salt which is dissolved or dispersed in the soln., which is acidic. A
     transparent electrode pattern is prepd. by printing the ink on an inorg.
     transparent elec. conductive film on a substrate, and etching
     the printed ink.
ST
     etching ink transparent electrode pattern
TT
     Glycols, uses and miscellaneous
     RL: TEM (Technical or engineered material use); USES (Uses)
        (etching-ink compns. contg., for patterning
        transparent electrodes)
TΤ
     Electric conductors
        (ink compns. for etching of, in forming transparent
        electrode patterns)
IT
     Ethers, uses and miscellaneous
     RL: TEM (Technical or engineered material use); USES (Uses)
        (glycol, etching-ink compns. contg., for patterning
        transparent electrodes)
IT
     Electrodes
        (transparent, etching ink compns. for patterning)
IT
     57-55-6, Propylene glycol, uses and miscellaneous 107-21-1
     , Ethylene glycol, uses and miscellaneous 111-77-3,
     Diethyleneglycolmonomethyl ether
                                        471-34-1, Calcium carbonate, uses and
                    7631-86-9, Silica, uses and miscellaneous
     miscellaneous
     7647-01-0, Hydrochloric acid, uses and miscellaneous
     7664-93-9, Sulfuric acid, uses and miscellaneous 7772-99-8, Tin
     chloride (SnCl2), uses and miscellaneous 9002-89-5, Polyvinylalcohol
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13463-67-7, Titanium oxide (TiO2), uses and miscellaneous 25322-68-3,

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'UMEZ-ERONINI 09/285773
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Page 35

```
Polyethylene glycol
     RL: TEM (Technical or engineered material use); USES (Uses)
         (etching-ink compns. contg., for patterning
        transparent electrodes)
     57-55-6, Propylene glycol, uses and miscellaneous 107-21-1
IT
     , Ethylene glycol, uses and miscellaneous 7647-01-0, Hydrochloric acid, uses and miscellaneous 7664-93-9, Sulfuric
     acid, uses and miscellaneous
     RL: TEM (Technical or engineered material use); USES (Uses)
        (etching-ink compns. contg., for patterning
        transparent electrodes)
RN
     57-55-6 HCAPLUS
CN
     1,2-Propanediol (8CI, 9CI) (CA INDEX NAME)
     ОН
_{\rm H3C-CH-CH2-OH}
     107-21-1 HCAPLUS
RN
CN
     1,2-Ethanediol (9CI) (CA INDEX NAME)
HO-CH2-CH2-OH
RN
     7647-01-0 HCAPLUS
CN
     Hydrochloric acid (6CI, 7CI, 8CI, 9CI)
                                                (CA INDEX NAME)
     not included among inorganic acids
HCl
RN
     7664-93-9 HCAPLUS
     Sulfuric acid (8CI, 9CI) (CA INDEX NAME)
CN
     ANSWER 12 OF 14 HCAPLUS
                                COPYRIGHT 2002 ACS
L16
ΑN
     1986:487429 HCAPLUS
                                                            1,2 ethanedict
DN
ΤI
     Tantalum-niobium alloys as materials for electrolytic capacitors
ΑU
     Chamdawalla, N.; Ettmayer, P.; Leuprecht, R.; Aschenbrenner, W.;
     Bildstein, H.
CS
     Inst. Chem. Technol. Anorg. Stoffe, TU Wien, Vienna, Austria
SO
     Metall (Berlin) (1986), 40(7), 676-9
     CODEN: MTLLAF; ISSN: 0026-0746
DT
     Journal
LA
     German
CC
     72-7 (Electrochemistry)
     Section cross-reference(s): 76
AΒ
     The properties of Ta-Nb-alloys with respect to their use in electrolytic
```

ST

ΙT

IT

IT

IT

TT

IT

ΙT

IT

RN

CN

foil condensers were examd. by measuring the etching factor, the formability of Ta-Nb foils and the residual current of Ta-Nb alloy wires used for contact leads. Alloys with Nb contents <25 wt.-% can be used instead of unalloyed Ta without loss of quality. If the etching and forming procedures were optimized for different compns., the use of alloys with <50 wt.-% Nb for capacitors might be feasible. tantalum niobium alloy electrolytic capacitor; anodization tantalum niobium alloy; etching electrochem tantalum niobium alloy Anodization (of niobium-tantalum alloys as materials for electrolytic capacitors) Etching (electrochem., of niobium-tantalum alloys as materials for electrolytic capacitors) Electric capacitors (electrolytic, niobium-tantalum alloys as materials for) 37237-20-0 RL: RCT (Reactant) (etching of, as material for electrolytic capacitors) 7664-38-2, uses and miscellaneous RL: USES (Uses) (in anodization, of niobium-tantalum alloys as materials for electrolytic capacitors) 67-56-1, uses and miscellaneous 107-21-1, uses and miscellaneous 10043-52-4, uses and miscellaneous 12124-97-9 RL: USES (Uses) (in electrolytic etching of niobium-tantalum alloy foils as materials for electrolytic capacitors) 7664-39-3, uses and miscellaneous 7664-93-9, uses and miscellaneous 7697-37-2, uses and miscellaneous RL: USES (Uses) (in etching, of niobium-tantalum wires as materials for electrolytic capacitors) 7664-38-2, uses and miscellaneous RL: USES (Uses) (in anodization, of niobium-tantalum alloys as materials for electrolytic -capacitors)

7664-38-2 HCAPLUS

HO-P-OH OH

ΙT 107-21-1, uses and miscellaneous RL: USES (Uses) (in electrolytic etching of niobium-tantalum alloy foils as materials for electrolytic capacitors) 107-21-1 HCAPLUS RNCN 1,2-Ethanediol (9CI) (CA INDEX NAME)

Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME)

HO-CH2-CH2-OH

ΙT 7664-39-3, uses and miscellaneous 7664-93-9, uses and miscellaneous 7697-37-2, uses and miscellaneous

UMEZ-ERONINI 09/285773 Page 37 RL: USES (Uses) (in etching, of niobium-tantalum wires as materials for electrolytic capacitors) RN 7664-39-3 HCAPLUS Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME) CN HF, H2504, HNO3 ΗF 7664-93-9 HCAPLUS RN Sulfuric acid (8CI, 9CI) (CA INDEX NAME) CN 0 HO-OH S 0 7697-37-2 HCAPLUS RN CN Nitric acid (8CI, 9CI) (CA INDEX NAME) - OH - N-ANSWER 13 OF 14 HCAPLUS COPYRIGHT 2002 ACS ΑN 1980:50109 HCAPLUS DN 92:50109 ΤI Removing a cured epoxy resin film from a metal surface ΙN Wong, Ching-Ping Western Electric Co., Inc., USA PΑ SO U.S., 6 pp. CODEN: USXXAM DT Patent LA English

IC B29C017-08

NCL 156630000

PATENT NO.

CC 74-8 (Radiation Chemistry, Photochemistry, and Photographic Processes)

FAN.CNT 1

US 4171240 19791016 US 1978-900367 PΤ Α AB Cured epoxy resin adhesives, which are used to laminate Cu or other material to a suitable support in the prodn. of a photomask, can be removed by treatment with a swelling agent, then treatment with an oxidizing agent, and subsequently treatment with an etchant comprising H2SO4. Thus, a laminate consisting of a Teflon 100 FEP support, an epoxy resin adhesive layer, and a Cu layer was coated on the Cu layer with Riston 116S photoresist, stored in the dark from 0.5 to 1 h, imagewise exposed, the photoresist developed in 1,1,1-trichloroethylene,

the exposed Cu layer removed by etching, the exposed epoxy resin swollen in CH2C12, immersed in 10% aq. ammonium persulfate at 25.degree. for 30 s, and then immersed in 98% H2SO4 at 145% for 7 s to completely

APPLICATION NO.

DATE

remove the exposed epoxy resin and give a finished photomask.

KIND DATE

ST

```
epoxy resin removal photomask manuf; adhesive epoxy removal fabrication
    photomask
ΤT
    Epoxy resins, uses and miscellaneous
    RL: USES (Uses)
        (adhesives, removal of, in photomask fabrication)
ΙT
     Photomasks
        (epoxy resin adhesive removal in fabrication of)
IT
    Adhesives
        (epoxy resins, removal of, in fabrication of photomasks)
     25068-38-6
TΨ
    RL: USES (Uses)
        (adhesive, removal of, in photomask fabrication)
TΤ
               112-24-3 121-69-7, uses and miscellaneous
     103-83-3
                                                              25134-21-8
    RL: USES (Uses)
        (epoxy resin adhesive compns. contg., removal of, in
        fabrication of photomasks)
     67-56-1, uses and miscellaneous 7664-38-2, uses and
TΤ
    miscellaneous 7664-39-3, uses and miscellaneous
    7664-93-9, uses and miscellaneous
                                         7722-84-1, uses and
                    7727-54-0
    miscellaneous
                                11115-74-5
    RL: USES (Uses)
        (in epoxy resin adhesive removal in photomask fabrication)
IT
     68-12-2, properties
                          75-09-2, properties
                                                 75-75-2
                                                          76-05-1, properties
                           109-99-9, properties
    78-93-3, properties
                                                110-86-1, uses and
    miscellaneous
    RL: PRP (Properties)
        (in epoxy resin adhesive removal in photomask fabrication)
              76-13-1 123-42-2
                                  123-91-1, properties
ΙT
     71-55-6
                                                          872-50-4, properties
    RL: USES (Uses)
        (in epoxy resin adhesive removal in photomasks fabrication)
                         64-18-6, uses and miscellaneous
TT
     64-17-5, properties
    properties 107-21-1, properties
                                     7705-08-0, properties
    RL: PRP (Properties)
        (in epoxy resin adhesive removal in photomasks fabrication)
IT
     7664-38-2, uses and miscellaneous 7664-39-3, uses and
    miscellaneous 7664-93-9, uses and miscellaneous
    RL: USES (Uses)
        (in epoxy resin adhesive removal in photomask fabrication)
     7664-38-2 HCAPLUS
RN
CN
     Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME)
HO-P-OH
   OH
RN
    7664-39-3 HCAPLUS
    Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME)
CN
HF
RN
     7664-93-9 HCAPLUS
CN
    Sulfuric acid (8CI, 9CI) (CA INDEX NAME)
```

IT **64-17-5**, properties **107-21-1**, properties

RL: PRP (Properties)

(in epoxy resin adhesive removal in photomasks fabrication)

RN 64-17-5 HCAPLUS

CN Ethanol (9CI) (CA INDEX NAME)

H3C-CH2-OH

RN 107-21-1 HCAPLUS

CN 1,2-Ethanediol (9CI) (CA INDEX NAME)

HO-CH2-CH2-OH

etchant includes L16 ANSWER 14 OF 14 HCAPLUS COPYRIGHT 2002 ACS X lactic acid; glycenol; EtoH, Me OH AN 1971:90314 HCAPLUS 74:90314 DN TI

Metallography of superalloys

Kohlhaas, Erich; Fischer, Alfred AU

Versuchsanst. Roechling'sche Eisen Stahlwerke G.m.b.H., Voelkingen, Ger. CS

Prakt. Metallogr. (1971), 8(1), 3-25 SO

CODEN: PMTLA5

DTJournal

LA English/German

CC 56 (Nonferrous Metals and Alloys)

The complex compn. of 17 superalloys (high-temp. Fe-, Ni-, and AB Co-base alloys) and their use in a 550-1000.degree. range, sometimes for long periods, r esults in an extraordinary diversity of structural components. The amt. of the .gamma.'-phase pptd., its particle size, form, and configur ation as well as its final transformation into other phases cause signific ant changes in the properties of the superalloys. For a comprehensive picture of the structures, all available light and ele ctron microscopic methods supplemented by x-ray diffraction pictures and e lectron beam microprobe measurements are used. Observations are made of normal and freckle segregations. In the study of etched disks, compns. of 4 macroetching reagents included FeCl3, HCl, HNO3, and H2O2. The quantity, form, and distribution of nonmetallic inclusions (oxides, sulfides, silicates, coarse ppts.) are evaluated. Compns. of 11 chem. and electrolytic etching reagents for revealing stru cture and producing thin foils included CuSO4, HCl, HNO3, EtOH, HF, glycerol, H2O2, H2SQ4, HOAc, CrO3, H3PO4, MeOH, and lactic acid. The actual size and shape of the .gamma.'-particles can be revealed only in extn. micrographs. Metallographic preparative techniques are illustrated.

ST superalloy metallog; metallog superalloy; iron superalloy metallog; nickel superalloy metallog; cobalt superalloy metallog

ΙT Cobalt alloys, base Iron alloys, base Nickel alloys, base

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UMEZ-ERONINI 09/285773
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Page 40

(etching of complex, for metallography) ΙT Alloys, reactions RL: RCT (Reactant) (etching of super-, for metallography) IT Etching (of superalloys, for metallography) IT 50-21-5, reactions 64-19-7, reactions **7647-01-0**, reactions 7664-38-2, reactions 7664-39-3, reactions 7664-93-9, reactions 7697-37-2, reactions 7758-98-7, reactions RL: RCT (Reactant) (etching by, of superalloys for metallography) ΙT 56-81-5, uses and miscellaneous 64-17-5, uses and miscellaneous 67-56-1, uses and miscellaneous 1333-82-0 7722-84-1, uses and miscellaneous RL: USES (Uses) (in etching, of superalloys for metallography) 7647-01-0, reactions 7664-38-2, reactions IT **7664-39-3**, reactions **7664-93-9**, reactions **7697-37-2**, reactions RL: RCT (Reactant) (etching by, of superalloys for metallography) 7647-01-0 HCAPLUS RN Hydrochloric acid (6CI, 7CI, 8CI, 9CI) (CA INDEX NAME) CN HC1 RN 7664-38-2 HCAPLUS CN Phosphoric acid (7CI, 8CI, 9CI) (CA INDEX NAME) HO-P - OH OH RN 7664-39-3 HCAPLUS CN Hydrofluoric acid (8CI, 9CI) (CA INDEX NAME) HF RN 7664-93-9 HCAPLUS Sulfuric acid (8CI, 9CI) CN (CA INDEX NAME) 0 HO-OH

KATHLEEN FULLER EIC 1700/LAW LIBRARY 308-4290

Nitric acid (8CI, 9CI) (CA INDEX NAME)

7697-37-2 HCAPLUS

RN

CN

```
O== N- ОН
```

IT 64-17-5, uses and miscellaneous

RL: USES (Uses)

(in etching, of superalloys for metallography)

RN 64-17-5 HCAPLUS

CN Ethanol (9CI) (CA INDEX NAME)

н3С-Сн2-ОН

Text search

=>	D QUE						
L3	24	SEA FILE=REGISTRY ABB=ON (10043-35-3/BI OR 106-69-4/BI OR					
		107-21-1/BI OR 107-41-5/BI OR 107-88-0/BI OR 111-29-5/BI OR					
		25265-71-8/BI OR 463-79-6/BI OR 50-70-4/BI OR 54289-82-6/BI OR					
		57-55-6/BI OR 64-17-5/BI OR 67-63-0/BI OR 71-23-8/BI OR					
		71-36-3/BI OR 7440-21-3/BI OR 7440-56-4/BI OR 7601-90-3/BI OR					
		7631-86-9/BI OR 7664-39-3/BI OR 7664-93-9/BI OR 7697-37-2/BI					
		OR 7782-99-2/BI OR 78-83-1/BI)					
L4	17	SEA FILE=REGISTRY ABB=ON L3 AND OL					
L8	6	SEA FILE=REGISTRY ABB=ON SULFURIC ACID/CN OR BORIC ACID/CN OR					
		CARBONIC ACID/CN OR PERCHLORIC ACID/CN OR SULFUROUS ACID/CN					
L9	14	SEA FILE=REGISTRY ABB=ON L4 NOT (1/S OR 1/SI)					
L10		SEA FILE=REGISTRY ABB=ON NITRIC ACID/CN OR PHOSPHORIC ACID/CN					
		OR HYDROCHLORIC ACID/CN OR HYDROFLUORIC ACID/CN OR HYDROBROMIC					
		ACID/CN					
L11	222913	SEA FILE=HCAPLUS ABB=ON L9					
L12		SEA FILE=HCAPLUS ABB=ON L8					
L13		SEA FILE=HCAPLUS ABB=ON L10					
L14		SEA FILE=HCAPLUS ABB=ON L11 AND L12 AND L13					
L15		SEA FILE=HCAPLUS ABB=ON L14 AND ?ETCH?					
L16	. 14	SEA FILE=HCAPLUS ABB=ON L15 AND (COMPNS OR COMPOSITION?)					
L18		SEA FILE=HCAPLUS ABB=ON (ALCOHOL# OR ALC) AND (INORG?(3A)ACID#					
) AND ?ETCH?					
L19	6	SEA FILE=HCAPLUS ABB=ON L18 AND (COMPNS OR COMPOSITION?)					
L20	37	SEA FILE=HCAPLUS ABB=ON (?GLYCOL?) AND (INORG?(3A)ACID#) AND					
		?ETCH?					
L21	10	SEA FILE=HCAPLUS ABB=ON L20 AND (COMPNS OR COMPOSITION?)					
L23		SEA FILE=HCAPLUS ABB=ON (?GLYCOL? OR ALC OR ALCOHOL#) AND					
		((SULFURIC OR BORIC OR CARBONIC OR PERCHLORIC OR SULFUROUS) (W)					
		CID# OR H2SO4 OR H2SO3 OR H2CO3 OR H3BO3 OR HCLO4)					
L24	27061	SEA FILE=HCAPLUS ABB=ON L23 AND (HCL OR HBR OR HF OR HNO3 OR					
		HNO2 OR H3PO4 OR H3PO3 OR (HYDROCHLORIC OR HYDROBROMIC OR					
		HYDROFLUORIC OR NITRIC OR NITROUS OR PHOSPHORIC OR PHOSPHOROUS)					
		(W) ACID#)					
L25	851	SEA FILE=HCAPLUS ABB=ON L24 AND ?ETCH?					
L26	87	SEA FILE=HCAPLUS ABB=ON L25 AND (COMPNS OR COMPOSITION?)					
L27	9	SEA FILE=HCAPLUS ABB=ON L26 AND (2 OR TWO)(2W)ACID#					
L28	2	SEA FILE=HCAPLUS ABB=ON 26 AND TWO(W)INORGAN?					
L29	24	SEA FILE=HCAPLUS ABB=ON L19 OR L21 OR L27 OR L28					
L31	13	SEA FILE=HCAPLUS ABB=ON L29 AND ETCH?					
L33	16	SEA FILE=HCAPLUS ABB=ON L29 NOT STRETCH?					
		1					

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UMEZ-ERONINI
                         09/285773
                                                          Page 42
                      16 SEA FILE=HCAPLUS ABB=ON L33 OR L31
        L34
                      15 SEA FILE=HCAPLUS ABB=ON (L34 OR L16) NOT L16
        L35
        => D L35 ALL 1-15 HITSTR
        L35
             ANSWER 1 OF 15 HCAPLUS COPYRIGHT 2002 ACS
       ΑN
             2001:795122 HCAPLUS
        DN
             135:347911
       \TI
             Etching pastes for glaze surfaces for semiconductor,
             photovoltaic, and glass industry applications
        IN
             Klein, Sylke; Heider, Lilia; Zielinski, Claudia; Kuebelbeck, Armin;
             Stockum, Werner
  acké PA
             Merck Patent G.m.b.H., Germany
             Ger. Offen., 8 pp.
        SO
             CODEN: GWXXBX
             Patent
        DT
1 acks
             German
       LA
alcohol IC
             ICM C03C015-00
             ICS C04B041-91; H01L021-311; H01L031-18; H01L031-0392; H01L023-08
        CC
             57-4 (Ceramics)
             Section cross-reference(s): 76
        FAN.CNT 1
             PATENT NO.
                                KIND DATE
                                                       APPLICATION NO.
                                                                         DATE
                                      -----
                                 A1
                                       20011031
                                                       DE 2001-10101926 20010116
        PΙ
             DE 10101926
                                                                        20010323
                                       20011108
                                                       WO 2001-EP3317
            <wo 2001083391</pre>
                                A1
                     AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU,
                      CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM,
                      AZ, BY, KG, KZ, MD, RU, TJ, TM
                  RW: GH, GM, -KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY,
                      DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF,
                      BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
        PRAI DE 2000-10020817 A1 DE 2001-10101926 A
                                       20000428
                                       20010116
             The new etching compns. in the form of printable,
        AB
             homogeneous, particle-free corroding pastes with non-Newtonian flow
             behavior are developed for corroding glassy and cryst. surfaces of glazes,
             preferably on SiO2- and Si3N4-based glazes and their layers. The pastes
             contain (a) .gtoreq.1 etching component esp. NH4HF2, (b) a
             solvent (e.g., propylene carbonate), (c) a thickener (e.g.,
             ethylcellulose), (d) org. and/or inorg. acid such as
             formic acid or lactic acid, and (e) a foaming agent, a thixotropic agent,
             e.g., triethylene glycol monomethyl ether or ethylene
             qlycol monobutyl ether, an adhesive such as PVP K-120 or PVP K-90,
             and a plasticizer.
        ST
             silica glaze etching paste semiconductor photovoltaic
        ΙT
             Plasticizers
                 (etching paste component; etching pastes for glaze
                 surfaces for semiconductor, photovoltaic, and glass industry
                 applications)
        ΙT
             Etching
                 (etching paste; etching pastes for glaze surfaces
                 for semiconductor, photovoltaic, and glass industry applications)
        ΙT
             Glazes (vitreous)
                 (glassy and cryst. surfaces of; etching pastes for glaze
                 surfaces for semiconductor, photovoltaic, and glass industry
```

applications)

IT Photoelectric devices

Semiconductor materials

(glazes; etching pastes for glaze surfaces for semiconductor, photovoltaic, and glass industry applications)

IT 9003-39-8, PVP K-120

RL: MOA (Modifier or additive use); USES (Uses) (PVP K-120 and PVP K-90, adhesives; etching pastes for glaze surfaces for semiconductor, photovoltaic, and glass industry applications)

IT 1341-49-7, Ammonium bifluoride (NH4HF2)

RL: TEM (Technical or engineered material use); USES (Uses) (etching component; etching pastes for glaze surfaces for semiconductor, photovoltaic, and glass industry applications)

IT 50-21-5, Lactic acid, uses 64-18-6, Formic acid, uses
RL: MOA (Modifier or additive use); USES (Uses)
 (etching paste component; etching pastes for glaze
 surfaces for semiconductor, photovoltaic, and glass industry
 applications)

IT 108-32-7, Propylene carbonate

RL: MOA (Modifier or additive use); USES (Uses) (solvent; etching pastes for glaze surfaces for semiconductor, photovoltaic, and glass industry applications)

IT 9004-57-3, Ethylcellulose

RL: MOA (Modifier or additive use); USES (Uses) (thickener; etching pastes for glaze surfaces for semiconductor, photovoltaic, and glass industry applications)

IT 111-76-2, Ethylene **glycol** monobutyl ether 112-35-6,

Triethylene **glycol** monomethyl ether
RL: MOA (Modifier or additive use); USES (Uses)
(thixotropic agent; **etching** pastes for glaze surfaces for semiconductor, photovoltaic, and glass industry applications)

/ L35 ANSWER 2 OF 15 HCAPLUS COPYRIGHT 2002 ACS

AN 2001:649874 HCAPLUS

DN 135:187835

TI Cleaning and **etching compositions** for silicon and silicon oxide compound substrates for display devices

IN Lee, Ki Won

PA S. Korea

SO U.S., 18 pp., Cont.-in-part of U.S. 6,194,365. CODEN: USXXAM

DT Patent

LA English

IC ICM C03C025-68

NCL 510175000

CC 74-13 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

FAN.CNT 2

P	ATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI U	IS 6284721	B1	20010904	US 1999-358111	19990721
U	S 6194365	B1	20010227≯	US 1998-142750	19980915
PRAI K	TR 1997-1539	A	19970121		
K	TR 1997-53384	Α	19971017		

US 1998-142750 A2 19980915 WO 1998-KR11 W 19980121 AB A cleaning and etching compn. for cleaning and etching substrates comprising quartz, glass, Si oxide or Si as a main constituent is disclosed. A cleaning compn. for cleaning substrates having a Si oxide layer comprises: a fluoride which decomps. and releases F- ion in aq. soln. during cleaning and etching processes for reacting with Si; and a persulfate which decomps. and releases H2O2 in the aq. soln. for increasing the oxidn. effect of the fluoride. Display device substrates having Si oxide layer and LCD glass substrates can be cleaned without imparting damages, as a result, a safe cleaning process can be implemented. A cleaning compn. for cleaning substrates having a Si layer comprises a fluoride, an inorg. acid and/or HNO3. The above cleaning compns. can also be suitably used as an etchant for etching Si and Si oxide layers. The cleaning and etching compn. of the present invention provides an effective control of etching rates, thus the factors related to the etching process can be flexibly adjusted. cleaning etching silicon oxide display substrate STΙT Cleaning Etching Glass substrates Liquid crystal displays Optical imaging devices Oxidation Process control (cleaning and etching compns. for silicon and silicon compd. substrates for display devices) Fluorides, processes TT Nitrates, processes RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (cleaning and etching compns. for silicon and silicon compd. substrates for display devices) ΙT Silicate glasses RL: PEP (Physical, engineering or chemical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses) (cleaning and etching compns. for silicon and silicon compd. substrates for display devices) IT Acids, processes RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (inorg.; cleaning and etching compns. for silicon and silicon compd. substrates for display devices) ΤT Aluminum alloy, base RL: PEP (Physical, engineering or chemical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses) (cleaning and etching compns. for silicon and silicon compd. substrates for display devices) 67-63-0, Isopropyl_alcehol, 64-19-7, Acetic acid, processes ΙT processes 1306-38-3, Cerium dioxide, processes 1333-83-1, Sodium Courtains acetic acid bifluoride 1341-49-7, Ammonium bifluoride (NH4HF2) 3006-15-3, Sodium dihexyl sulfosuccinate 5329-14-6, Sulfamic acid 6484-52-2, Nitric acid corganic ammonium salt, processes 7631-99-4, Nitric acid sodium salt, processes aci 1) 7647-01-0, Hydrochloric acid, processes 7664-38-2, Phosphoric acid, 7664-39-3, Hydrofluoric acid, processes 76 ocesses 7697-37-2, Nitric acid, processes 7681-49-4, Sodium processes fluoride, processes 7727-21-1, Potassium peroxydisulfate (K2S2O8) 7727-54-0, Ammonium persulfate 7757-79-1, Potassium nitrate, processes 7775-27-1, Sodium persulfate

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7789-23-3, Potassium fluoride (KF)
     7787-32-8, Barium fluoride
     7789-29-9, Potassium bifluoride 10022-31-8, Barium nitrate
                                                                         12135-76-1.
                                   13826-83-0, Ammonium tetrafluoroborate
     Ammonium sulfide ((NH4)2S)
     RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
     process); PROC (Process); USES (Uses)
         (cleaning and etching compns. for silicon and
         silicon compd. substrates for display devices)
TΤ
     7722-84-1P, Hydrogen peroxide, reactions
     RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical
     process); PNU (Preparation, unclassified); RCT (Reactant); PREP
     (Preparation); PROC (Process); RACT (Reactant or reagent); USES (Uses)
         (cleaning and etching compns. for silicon and
         silicon compd. substrates for display devices)
ΙT
     7440-21-3, Silicon, processes
                                       7631-86-9, Silicon dioxide, processes
     12033-89-5, Silicon nitride, processes
                                                 39396-75-3
     RL: PEP (Physical, engineering or chemical process); TEM (Technical or
     engineered material use); PROC (Process); USES (Uses)
         (cleaning and etching compns. for silicon and
         silicon compd. substrates for display devices)
ΙT
     15092-81-6, Peroxydisulfate ((SO3)2022-)
                                                    16984-48-8, Fluoride, reactions
     RL: RCT (Reactant); RACT (Reactant or reagent)
         (cleaning and etching compns. for silicon and
         silicon compd. substrates for display devices)
RE.CNT 16
               THERE ARE 16 CITED REFERENCES AVAILABLE FOR THIS RECORD
RF.
(1) Anon; AT 183883 1955
(2) Anon; DE 1209844 1966 HCAPLUS
(3) Anon; GB 1276550 1972 HCAPLUS includes H20
(4) Anon; EP 0106301 A1 1984 HCAPLUS
(5) Barcelona; US 5164018 1992 HCAPLUS contains, Hzo
(6) Carlson; US 5393447 1995 HCAPLUS -contains 126
(7) Hopkins; US 4517106 1985 HCAPLUS HF and alcohol
(8) Ikeda; US 5688755 1997 HCAPLUS—one mineral acid and Cz-Cio glycol
(9) Kendall; US 3725224 1973 HCAPLUS 112504, HAC and alcohol (10) Loch; US 4614607 1986 HCAPLUS X (11) Mann; US 3654001 1972 HCAPLUS X aqueen 5 50 m
(12) Murphy; US 5810938 1998 HCAPLUS 🗡
(13) Radimer; US 3565707 1971 HCAPLUS —

(14) Salzle; US 4555304 1985 HCAPLUS H<sub>2</sub>504 + HF
                                                       X, lacks alcohol
(15) Shiga; US 3986970 1976 HCAPLUS YOU'VEOUS SOLV
(16) Yee; US 5211807 1993 HCAPLUS X it i and HNO3
L35
     ANSWER 3 OF 15 HCAPLUS COPYRIGHT 2002 ACS
     1992:429647 HCAPLUS
ΑN
DN
     117:29647
TΙ
     Measurement of diffusivity in molybdenum oxide (MoO3)-manganese molybdenum
     oxide (MnMoO4) system
     Murthy, J. S. N.; Satyanarayana, M.
     Coll. Technol., Osmania Univ., Hyperabad, India
CS
     J. Inst. Eng. (India), Chem. Eng. Div. (1990), 71(2), 44-7
SO
     CODEN: JECEAF; ISSN: 0020-3351
DT
     Journal
LA
     English
CC
     48-8 (Unit Operations and Processes)
     Diffusivity measurements between two inorg. solids,
AΒ
     namely, molybdenum trioxide (MoO3) and manganese molybdate (MnMoO4) were
     undertaken with compaction pressure (porosity) and diffusion temp. as
     variables. The exptl. technique of measurement and establishment of
     concn. profile by electron probe micro analyzer (EPMA) for the diffusion
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of Mo6+ ions into manganese molybdate from which diffusivities are detd

are described. The activation energy required for the diffusion is calcd. and reported for three porosities of manganese molybdate, namely, 10.56 kcal/g-mol, 16.14 kcal/g-mol and 19.37 kcal/g-mol for compaction pressures 2040 N/cm2 (percentage .epsilon.0 of MnMoO4 = 33.4), 4510 N/cm2 (percentage .epsilon.0 = 26.13) and 9910 N/cm2 (.epsilon.0 = 23.16), resp. A generalized correlation is presented for diffusivity in terms of diffusion temp. and porosity of manganese molybdate. diffusivity molybdenum oxide manganese molybdenum oxide Diffusion (of molybdenum ions into manganese molybdate, measurement of) 16065-87-5, Molybdenum ion(6+), properties

RL: PEP (Physical, engineering or chemical process); PROC (Process) (diffusion of, into manganese molybdate, measurement of)

IT 14013-15-1, Manganese molybdate

RL: USES (Uses)

ST IT

TΥ

(molybdenum ion diffusion into, measurement of) ANSWER 4 OF 15 HCAPLUS COPYRIGHT 2002 ACS L35 1991:57646 HCAPLUS ANDN 114:57646 ΤI Reconstitution of the phosphoglycerate transport protein of Salmonella typhimurium Varadhachary, Atul; Maloney, Peter C. ΑU Dep. Physiol., Johns Hopkins Sch. Med., Baltimore, MD, 21205, USA CS SO J. Biol. Chem. (1991), 266(1), 130-5 CODEN: JBCHA3; ISSN: 0021-9258 DTJournal LA English CC 6-1 (General Biochemistry) AΒ Operation of the phosphoglycerate transport protein (PqtP) of S. typhimurium has been studied in proteoliposomes by using a technique in which membrane protein is solubilized and reconstituted directly from

small vols. of cell cultures. When protein from induced cells was reconstituted into phosphate (Pi)-loaded proteoliposomes, it was possible to demonstrate a PgtP-mediated exchange of internal and external phosphate. For this homologous Pi:Pi antiport, kinetic anal. indicated a Michaelis const. (Kt) of 1 mM and a maximal velocity of 26 nmol/min mg of protein; arsenate inhibited with a Ki of 1.3 mM, suggesting that PgtP did not discriminate between these two inorg . substrates. Pi-loaded proteoliposomes also accumulated 3-phosphoglycerate and phosphoenolpyruvate, establishing for each of them a concn. gradient (in/out) of .apprx.100-fold; phosphoenolpyruvate (Ki = 70 .mu.M) rather than 3-phosphoglycerate (Kt = 700, Ki = 900 .mu.M) was the preferred substrate for these conditions. Thus, such heterologous exchange was a neutral event, since its rate and extent were unaffected by the presence of a protonophore and unresponsive to the imposition of a membrane potential (pos. or neg. inside). In quant. work, a stoichiometry of 1:1 for the exchange of Pi and 3-phosphoglycerate was detd. Given an electroneutral exchange, this finding is most easily understood as the overall exchange of divalent Pi against divalent phosphoglycerate. expts. establish that PgtP functions as an anion exchange protein and that it shares important mechanistic features with the Pi-linked antiporters, GlpT and UhpT, responsible for transport of glycerol 3-phosphate and hexose 6-phosphates into Escherichia coli.

ST phosphoglycerate transport protein Salmonella

IT Salmonella typhimurium

(phosphoglycerate-transporting protein of, phosphoglycerate and phosphate electroneutral antiport by)

IT Biological transport

(antiport, electroneutral, of phosphoglycerate and phosphate, by

phosphoglycerate-transporting protein reconstituted form, of Salmonella typhimurium) IT Proteins, specific or class RL: BIOL (Biological study) (phosphoglycerate-transporting, phosphoglycerate and phosphate electroneutral antiporting by reconstituted form of, of Salmonella typhimurium) ΙT 820-11-1, Phosphoglyceric acid RL: BIOL (Biological study) (antiport of, with divalent phosphate by phosphoglycerate-transporting protein reconstituted form, of Salmonella tryphimurium) IT 14265-44-2, Phosphate, biological studies RL: BIOL (Biological study) (antiport of, with phosphoglycerate by phosphoglycerate-transporting protein reconstituted form, of Salmonella tryphimurium) L35 ANSWER 5 OF 15 HCAPLUS COPYRIGHT 2002 ACS 1985:212734 HCAPLUS ΑN lacks alcohol DN 102:212734 ΤI Aluminum surface preparation 'IN Walls, John E. PΑ American Hoechst Corp., USA U.S., 6 pp. CODEN: USXXAM SO DT Patent LA English IC ICM C25F003-04 C25D011-16; C25D011-18 NCL 204033000 74-6 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes) Section cross-reference(s): 72 FAN.CNT 1 PATENT NO. KIND DATE APPLICATION NO. DATE ______ ____ _____ US 4502925 PΙ Α 19850305 US 1984-619105 19840611 EP 167751 A1 19860115 EP 1985-105850 19850513 В1 EP 167751 19900718 R: CH, DE, FR, GB, LI, NL, SE AU 8542806 A1 19851219 AU 1985-42806 19850523 AU 584899 В2 19890608 CA 1235380 Α1 19880419 CA 1985-482400 19850527 BR 8502751 Α 19860212 BR 1985-2751 19850610 JP 61010491 A2 19860117 JP 1985-125265 19850611 PRAI US 1984-619105 19840611 A prepn. is described of an Al support for lithog. printing plates AB fabrication. The support having an increased surface area and improved capillary wettability is prepd. by etching in an ag. bath contg. HNO3 and/or HCl .ltoreq.25 and an inorg. F-contg. acid or a salt 1-25%, electrochem. grained and anodized. Thus, a 1100 Al alloy degreased in an alk. soln. was immersed in a compn. contg. (100%) HNO3 100, NH4F 100 g/L for 60 s at 60.degree., rinsed, dried to provide a highly textured surface contg. uniformly distributed nodules 10.mu. in diam., 8-10.mu. in height and 40-50.mu. from peak-to-peak. The support was then anodized using d.c. electricity and an electrolyte contg. 150 g/L of H2SO4, hydrophilized by treating with a 2.2 g/L soln. of poly(vinylphosphonic acid) at 65.5.degree. for 30 s, rinsed, dried, coated with a photosensitive compn. contg. poly(vinyl formal-vinyl

alc.-vinyl acetate), H3PO4, phthalocyanine, and a condensation product of 3-methoxy-4-diazodiphenylamine sulfate and 4,4'-

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bismethoxymethyldiphenyl ether, imagewise exposed and developed.
        obtained printing provided 50,000 acceptable copies.
        aluminum support etching lithog plate
   ST
   IT
        Lithographic plates
           (aluminum surface prepn. for support for, etching in, in aq.
           soln. contg. nitric acid and/or hydrochloric acid and
           inorg. fluorine-contg. acid or salt)
   IT
        Sulfonic acids, uses and miscellaneous
        RL: USES (Uses)
           (electrochem. graining electrolyte contg., in treatment of aluminum
           support for lithog.)
                                            7446-70-0, uses and miscellaneous
   TΤ
        144-62-7, uses and miscellaneous
                                             7727-21-1 7727-54-0
        7722-84-1, uses and miscellaneous
                                                                     7775-27-1
        10043-35-3, uses and miscellaneous
                                              13473-90-0
                                                          15092-81-6
                                                                        18697-38-6
        RL: USES (Uses)
           (electrochem. graining electrolyte contg., in treatment of aluminum
           support for lithog.)
        7647-01-0, uses and miscellaneous 7697-37-2, uses and miscellaneous
   ΙT
        RL: USES (Uses)
           (etching soln. contg. inorg. fluorine-contg.
           acid and, for surface prepn. of aluminum plate, for lithog.)
                   7664-39-3, uses and miscellaneous 12125-01-8 16872-11-0
      1341-49-7
                    16923-95-8 16940-81-1
                                              16961-83-4
        16919-27-0
RL: USES (Uses)

A 49 (etching soln. contg. nitric and/or hydrochloric acid and,
           for surface prepn. of aluminum plate, for lithog.)
        7429-90-5, uses and miscellaneous
        RL: USES (Uses)
           (support for lithog. printing plates from, etching solns. for
           prepn. of surface of)
        ANSWER 6 OF 15 HCAPLUS COPYRIGHT 2002 ACS
   L35
        1984:597110 HCAPLUS
   ΑN
        101:197110
   DN
   TΙ
        Film-removing and etching agent
   IN
        Dodge, Paul D.
        Tennant Co., USA
   PA
        Ger. Offen., 22 pp.
        CODEN: GWXXBX
   DT
        Patent
   LA
        German
        C09K013-06; C04B041-32
   TC
        58-2 (Cement, Concrete, and Related Building Materials)
   FAN.CNT 1
                         KIND DATE
                                              APPLICATION NO.
        PATENT NO.
                                                                DATE
                         ____
                               _____
                                               _____
        DE 3401570
                          A1
                                19840719
                                               DE 1984-3401570
                                                                19840118
        US 4469525
                          Α
                                19840904
                                               US 1983-459238
                                                                19830119
        NL 8400134
                          Α
                                19840816
                                               NL 1984-134
                                                                19840116
        GB 2136825
                          A1
                                19840926
                                               GB 1984-1126
                                                                19840117
                         В2
        GB 2136825
                                19861119
        US 4608086
                                               US 1984-644856
                          Α
                                19860826
                                                                19840827
   PRAI US 1983-459238
                                19830119
        Combined film removers and etching agents for concrete for
        removal of temporary curing films and surface prepn. for permanent
        protective coatings are prepd. from an org. solvent 1-10, solvent
        distributor 30-50, an acid-resistant surfactant 1-5, a weak org. acid
        5-20, a strong inorg. or org. acid 20-30, and water <30 wt. parts. Thus, HCl 25, glycolic acid [79-14-1] 25,
       dipropylene glycol Me ether [12002-25-4] 10, 2-butoxyethanol
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[111-76-2] 15, a nonionic surfactant 5, and CH2Cl2 [75-09-2] 15 parts
     were combined to give a storage-stable nonaq. soln. This soln. was
     combined with 100 parts arom. solvent with Kurl-butanol test value 92 and
     applied as a layer .apprx.0.4 mm thick to remove a thin chlororubber film
     from a concrete plate. The acid system was activated by applying 100
     parts water. After 10-15 min the acid was removed by a wet suction roller
     and the concrete surface was rinsed with water until it had a pH of
     .apprx.8. The degree of film removal and etching were
     satisfactory.
ST
     film remover etchant concrete
ΙT
     Concrete
        (curing film removal from and etching of, compns.
        for)
ΙT
     Rubber, chlorinated
     RL: USES (Uses)
        (curing films, on concrete, compns. for removal of, and
        concrete etching)
ΙT
     Coating removers
        (for curing films, on concrete and for etching)
ΙT
     Petroleum spirits
     Petroleum spirits
     RL: TEM (Technical or engineered material use); USES (Uses)
        (in curing film removers and etching compns. for
        concrete)
IT
    Etching
        (of concrete, agents for curing film removal and)
     92909-16-5
IT
                  92909-22-3
     RL: USES (Uses)
        (curing films, on concrete, compns. for removal of, and
        concrete etching)
IT
     64-18-6, uses and miscellaneous
                                       64-19-7, uses and miscellaneous
                                       67-63-0, uses and miscellaneous
     67-56-1, uses and miscellaneous
                                       75-21-8, uses and miscellaneous
     75-09-2, uses and miscellaneous
                                       78-83-1, reactions 78-87-5 79-14-1,
     77-92-9, uses and miscellaneous
     uses and miscellaneous
                              110-49-6 110-80-5
                                                    111-15-9
                                                               111-76-2
     111-96-6
                127-18-4, uses and miscellaneous
                                                   1336-21-6
                                                               5329-14-6
                                                     7664-38-2, uses and
     7382-32-3
                 7647-01-0, uses and miscellaneous
                     7664-39-3, uses and miscellaneous
                                                         9016-45-9
    miscellaneous
                                                                     12002-25-4
                  92909-18-7
     26264-05-1
     RL: TEM (Technical or engineered material use); USES (Uses)
        (in curing film removers and etching compns. for
        concrete)
                                                    lack alcohol
L35
    ANSWER 7 OF 15 HCAPLUS COPYRIGHT 2002 ACS
ΑN
     1979:11098 HCAPLUS
DN
     90:11098
TI
    Metal cleaning and polishing solutions
     Shiga, Shoji; Inada, Takashi
     Furukawa Electric Co., Ltd., Japan; Furukawa Kinzoku Kogyo K. K.
     Jpn. Kokai Tokkyo Koho, 5 pp.
     CODEN: JKXXAF
DT
     Patent
LA
     Japanese
IC
     C23F001-00
CC
     56-5 (Nonferrous Metals and Alloys)
     Section cross-reference(s): 46
FAN.CNT 1
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KIND

A2

DATE

19780718

APPLICATION NO.

JP 1976-153322

19761222

PATENT NO.

JP 53081439

PI

UMEZ-ERONINI 09/285773 Page 50 В4 19790917 JP 54028382 A polyoxyalkylane phosphate is added (0.01-100 g/L) to a soln. of AΒ inorg. acid and H2O2 to prep. metal cleaning, polishing, or etching solns. Thus, brass (35% Zn) was treated with a soln. of H2SO4, H2O2, and [R(OC2H4)nO]3PO (R = C10-16 alkyl). ST metal polishing compn; polyethylene glycol phosphate polish; hydrogen peroxide polish metal; sulfuric acid polish metal ΙT Polishing (of metals, acid-hydrogen peroxide-polyoxyalkylane phosphate solns. for) IT 12597-71-6, uses and miscellaneous RL: USES (Uses) (polishing of, with acid-peroxide soln. contg. polyethylene glycol phosphate) ΙT 7664-93-9, uses and miscellaneous 7722-84-1, uses and miscellaneous 64502-13-2D, alkyl ethers RL: USES (Uses) (polishing soln. contg., for brass) T.35 ANSWER 8 OF 15 HCAPLUS COPYRIGHT 2002 ACS AN. 1969:40278 HCAPLUS DN 70:40278 TΙ Alkaline solutions for rapid etching of aluminum without hard scale formation in containers Barber, Alan L.; Clarke, John TN Bowmans Chemicals Ltd.; Forestal Industries(U.K.)Ltd. PΑ Brit., 4 pp. CODEN: BRXXAA SO DT Patent LA English IC B44C 56 (Nonferrous Metals and Alloys) CC FAN.CNT 1 KIND DATE APPLICATION NO. PATENT NO. -----GB 19681127 PΙ GB 1134716 Superior alk. solns. for chem. milling or selective etching of Al, Mg, Zn, Pb, or other metals similarly sol. in alkalis, or for the removal of such metals from other metallic surfaces, are prepd. by dissolving in H2O a solute mixt. consisting of at least 60% alkali on a dry basis, with 1-20% each of an inorg. salt accelerator or acid forming such a salt, preferably NaNO3, and a satd. aliphatic B polyhydroxy compd. scale inhibitor obtainable by treating H3BO3 or a borate with a suitable aliphatic compd. having 2 hydroxy groups on adjacent C atoms. Probable formulas are given for 3 classes of suitable ${\tt N}$ polyhydroxy compds. which include heptonic, gluconic, and lactic acids, mannitol, sorbitol, and ethylene glycol. A preferred mixt. is NaOH 83, NaNO3 9, and Na boroheptonate 8% by wt., the latter component being the Na salt of the acid complex (I). Solns. used for etching by any method usually contain 1-20% of such a mixt. by wt. and are heated to 170-212.degree.F. The rates of soln. of Al sheet specimens 0.1 in. thick weighing .apprx.1.1 g. each in various solns. at 170.degree.F. were measured after dropping each 1 of 5 pieces

consecutively in the same 100-ml. batch of soln., then filtering the soln., and measuring the soln. rate of another specimen in it. A

boroheptonate 8.27 g./l. dissolved the 1st 1.1-g. specimen in 100 ml. in 50, the 2nd in 51, the 3rd in 53, the 4th in 61 min., and with full Al203 pptn. and no further visible reaction, 4.9 g. Al total; after filtering, an addnl. 0.5 g. of Al was dissolved in 50 min. Hard scale formation was

preferred compn. contg. NaOH 82.7, NaNO3 9.3, and Na

inhibited and any scale formed could be removed without chipping. When the soln. contained no scale inhibitor these time periods were 55, 57, 80, 100, and 56 min., resp.; and when NaNO3 was also omitted they were 75, 78, 90, 120, and 80 min., resp. Pptn. of Al2O3 did not begin in the preferred soln. until 1.8 g. Al had been dissolved, but it began when 1-1.3 g. had been dissolved in the others.

ST aluminum alk etching; alk etching Al; etching Al alk

IT 7429-90-5, reactions RL: RCT (Reactant)

(etching of, solns. contg. sodium boroheptonate for)

IT 7631-99-4, reactions 14906-97-9 21097-80-3

RL: RCT (Reactant)

(etching with solns. contg. sodium hydroxide and, of aluminum)

IT 1310-73-2, reactions RL: RCT (Reactant)

(etching with solns. contg., of aluminum)

L35 ANSWER 9 OF 15 HCAPLUS COPYRIGHT 2002 ACS

AN 1962:24781 HCAPLUS

DN 56:24781

OREF 56:4628h-i,4629a-i,4630a-b

TI Small-ring compounds. XXXV. Studies of rearrangements in the nitrous acid deaminations of methyl-substituted cyclobutyl-, cyclopropylcarbinyl-, and allylcarbinylamines

AU Silver, Marc S.; Caserio, Marjorie C.; Rice, Howard E.; Roberts, John D.

CS Massachusetts Inst. of Technol., Cambridge

SO J. Am. Chem. Soc. (1961), 83, 3671-8

DT Journal

AB

LA Unavailable

CC 28 (Alicyclic Compounds)

cf. preceding abstr. - The compns. of the alc. mixts. formed in the HNO2-deaminations of cyclopropylmethylcarbinylamin e (I), (2-methylcyclopropyl)carbinylamine (II), 2-methylcyclobutylamine (III), 3-methylcyclobutylamine (IV), MeCH:CHCH2CH2NH2 (V), and CH2:CHCH2CHMeNH2 (VI) have been detd. These results and the behavior of the corresponding alcs. under isomerization conditions in strongly acidic media may be explained by assuming formation of 3 nonequiv., unsym., nonclassical cationic intermediates analogous to those previously proposed for the carbonium ion reactions of other small-ring derivs. Cyclopropyl methyl ketone (62.7 g.) reduced with 11.4 g. LiAlH4 in Et20 yielded 71% cyclopropylmethylcarbinol (VII), b. 122-4.degree., n25D 1.4292-1.4298; p-nitrobenzoate m. 54-5.5.degree. (hexane). Me cyclopropyl ketoxime (20.8 g.) reduced with 11.4 g. LiAlH4 in Et2O yielded 54% I; benzamide deriv. m. 96.8-7.6.degree. (hexane-C6H6). Cyclobutanone reduced with LiAlH4 in Et20 yielded 80% cyclobutanol, b. 119-20 n25D 1.4333-1.4340; p-nitrobenzoate m. 84.0 5.3.degree. (hexane). 3-Methylenecyclobutanecarboxylic acid (101.5 g.), 92..5 g. Et3N, excess NH3, and 100 g. ClCO2Et in 3 1. CHCl3 yielded 81% 3-methylenecyclobutauecarboxamide (VIII) m. 156.7-7.2 (sublimed). VIII in AcOH hydrogenated over prereduced PrO2 gave 92% mixed cistrans-3-methylcyclobutanecarboxamide (IX), m. 154.563.0.degree. (sublimed). IX (25 g.) and MeMgI from 21.4 g. Mg and 128 g. MeI yielded 16.7 g. 3-methyl-1-acetylcyclobutane (X), b. 140-40.5.degree., n25D 1.4261; 2,4-dinitrophenylhydrazone m. 143.0-6.6.degree. (EtOH). X (11.2 q.) oxidized with 4.1 cc. 90% H2O2 and 25.4 cc. (CF3CO)2O in CH2Cl2 in the presence of anhyd. Na2HPO4 yielded 79% 3-methyl-1-acetóxycyclobutane (XI), b. 104.5.degree., n22.5D 1.4172. XI sapond. with alkali yielded 75% 3-methyleyclobutanol (XII), b. 133-4.degree., n25D 1.4287-4292; p-nitrobenzoate m. 50.8-69.5.degree. (hexane). 3Methylcyelobutanecarboxylic acid (27 g.), 50 cc. concd. H2SO4, 200 cc. CHCl3, and 19 g. NaN3 yielded 9.2 g. IV, b. 94-5.degree., n25D 1.4290-1.4293. 2-Methyl-1,1-cyclobutanedicarboxylic acid (20-40 g.), m. 161.72.8.degree., distd. at atm. pressure gave 92-9% 2 -methylcyclobutanecarboxylic acid (XIII) n25D 1.4380; amide (XIV) m. 130-3.degree.. XIV was converted with MeMgI to 57% mixt. of cisand trans-1-acetyl-2-methylcyclobutane, b. 140-5.degree., b. 139.5.degree., n25D 1.4170. Crude XIVa sapond. with aq. alkali yielded 80% 2-methylcyclobutanol (XV), b. 131.degree., n25D 1.4308; p-nitrobenzoate m. 50.3-2.5.degree. (hexane). XIII was converted with H2SO4, CHCl3 and NaN3 to III, m. 92, n25D 1.4341. CH2: CMeCH2Cl (380 g.) treated 8 hrs. with a slow stream of 0 and a rapid stream of dry HBr gave 402 g. BrCH2CHMeCH2Cl (XVI), b26-28 55-63.degree., n26.5D 1.4800. NaCN (32.5 g.) and 88.5 g. XVI in 260 cc. 75% aq. EtOH refluxed 7.5 hrs., dild. to 1 l., and extd. with CHCl3 yielded 39.6 g. NCCH2CHMeCH2Cl (XVII), b11.7 74-9.degree., n23D 1.4430. XVII (39 g.) and 46 g. ground NaOH heated 1.5 hrs. at 150-60, treated during 20 min. with 150 cc. H2O, heated 3 hrs., cooled to 0.degree., poured onto 100 g. ice and 37 cc. H2SO4, and extd. with Et2O yielded 23.1 g. $\bf 2$ -methylcyclopropanecarboxylic acid (XVIII), b17.6 97-8.degree., n21.5D 1.4384-1.4387. XVIII (23.1 g.) reduced with 7.2 g. LiAlH4 yielded 78% 2-methylcyclopropylcarbinol (XIX) b. 133.degree., n25D 1.4283; p-nitrobenzoate, oily at room temp. NaNH2 from 6 g. Na and 500 cc. NH3 treated with stirring during 1 min. with 28.8 g. XVII in 50 cc. Et2O, dild. with 190 cc. Et2O during 1.5 hr., treated with 4 g. NH4Cl, evapd. overnight, and filtered, the filter cake dissolved in H2O and extd. with Et2O, and the combined Et2O solns. worked up gave 9.3 g. (2-methylcyclopropyl)carbonitrile (XX), b. 143-6.degree., n25D 1.4242. A mixt. of ClCH2CH: CHMe and MeCHClCH:CH2 treated with CuCN, yielded NCCH2CH: CHMe (XXI), b. 142-4.degree., n25D 1.4199. XXI hydrolyzed and then reduced with LiAlH4 yielded 63% MeCH:CHCH2CH2OH (XXII), b. 134-4.5.degree., n25D 1.4339-1.4340. XXI reduced with LiAlH4 gave V, b. 104.5-6.5.degree., n25D 1.4345-1.4350. MeCH:CHCHO with MeMgI gave MeCH: CHCH(OH)Me (XXIII), b. 117-22.degree., n25D 1.4270. AcH treated with Zn and CH2:CHCH2Br yielded CH2:CHCH2CH(OH)Me (XXIV), b. 113.5-14.5.degree., n25D 1.4227. CH2:CHCHO with EtMgBr yielded EtCH(OH)CH:CH2 (XXV), b. 112-147.degree., n25D 1.42211.4227. NaN3 (15.5 g.) and 33 g. p-toluenesulfonate of XXIV in 200 cc. MeOH and 40 cc. H2O refluxed 22.5 hrs., cooled, and dild. with 200 ce. Et2O and 125 cc. H2O, the mixt. treated with 200 g. CaCl2 in 500 cc. H2O, the aq. phase extd. with Et20, the combined Et20 solns. dried and treated with 5.5 g. LiAlH4 in Et20, and the mixt. acidified, washed with Et20, basified, and extd. with Et20 gave 2.8 g. VI; phenylthiourea deriv., m. 82.8-4.0.degree. (aq. EtOH). VI hydrogenated gave MePrCHNH2; phenylthiourea deriv, m. 73.5-4.0.degree. (aq. EtOH); p-nitrobenzamide m. 92.6-3.8.degree. (C6H6-hexane). The appropriate amine (1-10 i g.) and 22-43 g. NaNO2 in 280 cc. H2O treated with stirring and cooling with 17 cc. 60% HClO4, distd. after about 30 rain. to collect 75 cc. homogeneous distillate, the distillate satd. with K2CO3, and the org. layer sepd. and analyzed showed the formation of 69% and 56% VII from I and III, resp. IV in 2 parallel runs deaminated in the usual manner gave 49 (70)% mixt. of 48 (45)% VII, 39 (39)% XXIV, 5 (6)% XII, and 8 (10)% XIX. II deaminated in the usual manner yielded 58% mixt. of 51% VII, 35% XXIV, and 13% XIX. V gave similarly 44% mixt. of 74% VII, 10% XXII, and 16% XXIII. VI (0.8 g.) deaminated in the usual manner gave 21% mixt. of 17% VII, 3% XIX, 49% XXIV, 25% XXV, and 6% EtCH: CHCH2OH. XV, VII, XXIV, XIX, XII, and XXII were treated with 45% HBF4 at room temp.; XIX and VII rearranged to XXII and variable amts. of unidentified materials; the other ales. were stable. XII with 22% HBF4 refluxed 0.5 hr. gave about 80% unchanged XII and 20% XXII; XV was completely rearranged to XXII under the same

conditions. XXII refluxed 1 hr. with 25% **H2SO4** gave only polymer and unrearranged XXII.

L35 ANSWER 10 OF 15 HCAPLUS COPYRIGHT 2002 ACS 1955:64835 HCAPLUS AN NDN 49:64835 OREF 49:12462f-i,12463a-i,12464a-f Utilization of n-alkyl methyl ketones in the Pfitzinger reaction ΑU Henze, Henry R.; Carroll, Donald W. CS Univ. of Texas, Austin J. Am. Chem. Soc. (1954), 76, 4580-4 SO DT Journal LA Unavailable CC 10 (Organic Chemistry) A series of Pfitzinger condensations [cf. Pfitzinger, J. prakt. chem. [2], 56, 283(1897)] using n-alkyl Me ketones (alkyl = Me through C6H13) has been carried out. The unsym. ketones produced 2 isomeric cinchoninic acids (I), a 2-monosubstituted acid and a 2,3-disubstituted acid, the monosubstituted compd. usually being formed in the larger amt. A new sequence of syntheses has been developed in order to establish the structure of 1 series of these isomeric I. Isatin (II) (60 g.), 200 cc. 34% KOH in dil. EtOH, 88 g. EtAc, and 375 cc. H2O refluxed 72 hrs. with stirring, about 125 cc. liquid distd. off, the residue made faintly acidic and filtered, the filtrate acidified strongly, and the ppt. filtered off, washed, and dried gave 70 g. cryst. mixt. of isomeric I, decomp. above 300.degree. after sintering at 248.degree., which repeatedly recrystd. from H2O and aq. EtOH gave 2,3-dimethylcinchoninic acid (III), m. above 320.degree. with rapid decompn. AcCO2H (25 g.) and 17 g. EtCHO in 100 cc. EtOH treated during 1.5 hrs. at about 5.degree. with 27 g. PhNH2 in 50 cc. EtOH, the mixt. warmed gently 3 hrs., refluxed 7 hrs., concd. to 110.degree., and cooled, and the yellow solid deposit purified with EtOH and Me2CO gave 4.5 g. 2-ethylcinchoninic acid (IV), m. 180-1.degree.. Samples of III and IV titrated with the aid of a Beckmann pH meter showed that the pH (8.2) at the neutralization point was identical for both acids. The compn. of the crude mixt. of III and IV obtained in the reaction was estimated to contain about 85% III, as detd. by the m.p. behavior of a series of known mixts. of purified III and IV. II (60 g.), 120 g. PrAc, 200 cc. 34% KOH, and 370 cc. H2O gave in the usual manner 81 g. mixed acids, m. beginning at 131.degree., which recrystd. gave the major product, 2-propyl cinchoninic acid, silvery white plates, m. 159.0-9.5.degree. (decompn.); the crude reaction product (30 g.) recrystd. repeatedly gave 7 g. 3-ethyl-2-methylcinchoninic acid (V), m. above 220.degree., which recrystd. from dioxane gave pure V, white friable powder, m. 257-8.degree. (decompn.); the crude product contained 20-5% V. V heated gave with decarboxylation 3-ethyl-2-methylquinoline (VI), which yielded a picrate, m. 227-30.degree. (decompn.). II (60 g.), 108 g. BuAc, 200 cc. 34% KOH, and 375 cc. H2O gave similarly 119 g. crude product, m. beginning at 121.degree., which recrystd. extensively gave 2 -butylcinchoninic acid, white friable powder or very fine leaflets, m. 141-2.degree., which was decarboxylated to 2-butylquinoline, identified as the picrate, m. 162.degree.. Fractional extn. of the crude reaction product gave a small amt. of the isomeric 2-methyl-3propylcinchoninic acid, snow-white powder, m. above 290.degree. (decompn.). II (60 g.), 105 g. AmAc, 400 cc. 34% KOH, and 900 cc. H20 refluxed 78 hrs. with stirring gave similarly 79 g. crude mixed product, m. beginning about 125.degree., which recrystd. repeatedly gave 2 -amylcinchoninic acid (VII), m. 135-6.degree. (slight decompn.),

previously regarded by Salzer, et al. (C.A. 43, 1415c), as 3-butyl-

2-methylcinchoninic acid (VIII). VII decarboxylated, and the resulting product treated with picric acid gave 2-amylquinoline picrate, m. 103.0-3.5.degree. (from aq. EtOH). The crude product extd. with dioxane, and the residue from the ext. recrystd. from EtOH gave 3-butyl-2-methylcinchoninic acid, granular white powder, m. 261-3.degree. (decompn.), which constituted only about 5% of the crude product; a sample decarboxylated and treated with picric acid gave 3-butyl-2-methylquinoline picrate, fine yellow needles, m. 210-12.degree. (decompn.). II (50 g.), 75 cc. C6H13Ac, 180 cc. 34% KOH, and 300 cc. H2O refluxed 96 hrs. with stirring gave 82 g. crude product, m. 136-40.degree. (from H2O), which recrystd. repeatedly from MeOH gave 2-hexylcinchoninic acid (IX), m. 140-1.degree.. IX decarboxylated and treated with picric acid in MeOH gave 2-hexylquinoline picrate, m. 110-12.degree. (decompn.). 2-Methylcinchoninic acid (8 g.) in 200 cc. H2O contg. 2 g. NaOH treated with stirring and heating on a steam cone with 67 g. KMnO4 in 1200 cc. H2O dropwise during 7 hrs., the mixt. heated 70 hrs., treated with a few cc. EtOH to destroy the excess KMnO4, and filtered, the clear filtrate concd. to about 500 cc., acidified with HNO3, and treated with 200 cc. aq. hot soln. contg. 17 g. Cu(OAc)2, the pasty, blue ppt. filtered off, washed with about 500 cc. M AcOH, stirred while being treated with gaseous H2S, and filtered, the filtrate evapd. to dryness, the residue (about 2 g.) extd. with hot MeOH, the purplish gel which set to a solid (1.5 g.) powd. and extd. in a Soxhlet app. with EtOAc, and the ext. evapd. gave 2,3,4,6-pyridinetetracarboxylic acid, light tan solid, m. 182-4.degree., expanded to resolidify and then melted with extensive decompn. at about 223-7.degree.. (EtO)2CHCOCH2CO2Et (X) (45 g.) added to 4.8 g. Na in 100 cc. EtOH, the mixt. treated during 70 min. at reflux temp. with 26 g. EtBr, refluxed 13 hrs., and filtered, the filtrate dild. with H2O and extd. with Et20, and the ext. dried with Na2SO4 and fractionated gave 38.5 g. (EtO)2CHCOCHEtCO2Et (XI), b5 118-21.degree., n20D 1.4270, d2020 1.0085, MRD 62.57, 63.61; it gave with aq. FeCl3 a deep amber color within 1 min. XI (35.5 g.), 145 cc. 2N KOH, and 125 cc. MeOH refluxed 1 hr. with stirring, the MeOH distd. off, the alk. soln. extd. with Et2O, and the ext. dried with Na2SO4 and fractionated gave 16.2 g. (EtO)2CHCOPr (XII), b9 78-9.degree., n20D 1.4130, d2020 0.9187, MRD 47.06; it gave with aq. FeC13 during 0.5 hr. a deep golden-brown color. XII treated with KCN and (NH4)2CO3 in aq. EtOH gave 5-diethoxymethyl-5-propylhydantoin, m. 150.degree., XII gave a semicarbazone, m. 244.degree. (decompn.); and a 2,4-dinitrophenylhydrazone, bright orange solid, m. 285-6.degree. (decompn.). XII (10 g.) and 6.35 g. II in 22 cc. aq. alc. KOH and 50 cc. H2O refluxed 72 hrs. with stirring, the mixt. cooled, extd. with Et2O to recover a small amt. of XII, acidified to ppt. inorg. salt and 3.2 g. II as an agglutinous red mass, and filtered, the filtrate basified with aq. Na2CO3, concd. to 75 cc. and acidified with concd. HCl, and the resulting spongy, amorphous material crystd. from C6H6 gave 3.3 g. 2-diethoxymethyl-3-ethylcinchoninic acid (XIII), yellowish solid, m. 145-50.degree. (recrystd. from C6H6 and Skellysolve A, fibrous white solid, m. 146.5.degree.). XIII (750 mg.) heated about 4 hrs. with 60 cc. 0.25N H2SO4 on the steam cone, and the soln. concd. and chilled deposited about 500 mg. (88%) material, which recrystd. from hot dil. MeOH gave 3-ethyl-2-formylcinchoninic acid (XIV), white cryst. solid, m. 222-3.degree. (decompn.); it gave a pos. Schiff test for aldehyde. XIV (0.5 g.), 3 g. amalgamated Zn, 12 cc. H2O, 3 cc. EtOH, and 15 cc. concd. HCl refluxed 5.5 hrs., the liquid decanted, dild. with an equal vol. H2O and sufficient aq. NaOH to ppt. Zn(OH)2, and steam distd. to give 100 cc. distillate, the distillate extd. with Et20, the ext. dried and evapd., and the small amt. light brown oily residue treated with picric acid gave the picrate of VI, bright yellow crystals, m. 229.0-9.5.degree. (decompn.); the mother liquor from the

steam distn. gave 200 mg. brown material which could not be purified, since it charred on burning and underwent extensive decompn. at 250-4.degree.; this product was possibly V. X alkylated in the usual manner with BuBr yielded (EtO)2CHCOHBuCO2Et (XV), b. 124-7.degree., n20D 1.4296, d2020 1.001, MRD 71.00; it gave a russet color with aq. FeCl3 within 2 min. XV hydrolyzed with KOH in MeOH gave (EtO)2CHCOAm (XVI), b8-9 94.degree., b. 222.degree., n20D 1.427, d2020 0.912, MRD 56.90, which heated with KCN and (NH4)2CO3 in a sealed tube at 110.degree. yielded 5-amyl-5-(dimethoxymethyl)hydantoin, white crystals, m. 119-20.degree.. XVI did not give with II in alk. soln. a cinchoninic acid. Cl2CHCO2H was converted to (MeO)2CHCO2Et (XVII), b4-5 57-60.degree., n20D 1.4078, d2020 1.054, MRD 34.55. XVII condensed with EtOAc in the presence of Na yielded 76% (MeO)2CHCOCH2CO2Et (XVIII), b4 104.0 .+-. 0.5.degree., n20D 1.4286, d2020 1.084, MRD 45.15, which immediately gave a blood-red color when shaken with aq. FeCl3. XVIII gave with H2NCONHNH2.**HCl** a compd. which was apparently H2NCONHN: CHC(:NNHCONH2) CH2CO2Et, m. 227.degree. with charring. XVIII alkylated with NaOEt and BuBr gave (MeO) 2CHCOCHBuCO2Et, b4-5 128.5-9.5.degree., n20D 1.4342, d2020 1.019, MRD 62.90; this sapond. with KOH in MeOH yielded 70% (MeO)2CHCOAm (XIX), b4-5 98-100.degree., n20D 1.4218, d2020 0.939, MRD 47.10. XIX gave a semicarbazone, white crystals, m. 241.2.degree. (decompn.), and a 2,4-dinitrophenylhydrazone, fluffy bright orange powder, m. 185-6.degree.. XIX gave with KCN and (NH4)2CO3 5-amyl-5-(dimethoxymethyl)hydantoin, m. 94-5.degree.. XIX (9 g.), 5.4 g. II, 25 cc. 34% aq. KOH, 45 cc. H2O, and 25 cc. EtOH refluxed 72 hrs. with stirring yielded 8 g. 3-butyl-2-dimethoxymethylcinchoninic acid (XX), m. 155-6.degree. (from C6H6-Skellysolve A). XX (0.75
g.) in 75 cc. 0.4N H2SO4 heated 5 hrs. on the steam bath while adding from time to time small amts. H2O to keep the vol. const., the mixt. cooled, and the resulting crude product (0.6 g., 94%) recrystd. from hot EtOH gave, 3-butyl-2-formylcinchoninic acid, small white crystals, m. 207.degree. (decompn.), gave a pos. Schiff test and a raspberry-red with 2N aq. KOH. XX in aq. EtOH refluxed with concd. HCl and amalgamated Zn, the resulting product dissolved in EtOH contg. NaOH, the soln. refluxed and neutralized, and the tan ppt. recrystd. from aq. EtOH gave 3-butyl-2-methylcinchoninic acid, m. 261-4.degree. with darkening. The substitution of the alkyl groups into the 2-, 3-, or 2,3-positions of cinchoninic acids did not significantly change the max. or min. points of the ultraviolet absorption; the 2-formylcinchoninic acids exhibited a change, evidently due to a lengthening of the conjugation of the unsatn.

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AN 1951:36132 HCAPLUS

DN 45:36132

OREF 45:6185a-i,6186a-h

TI The earlier investigations relating to 2-pentenylpenicillin

AU Abraham, E. P.; Baker, W.; Boon, W. R.; Calam, C. T.; Carrington, H. C.; Chain, E.; Florey, H. W.; Freeman, G. G.; Robinson, R.; et al.

CS Princeton Univ. Press

SO Chem. of Penicillin (1949) 10-37

DT Journal

LA Unavailable

CC 10 (Organic Chemistry)

GI For diagram(s), see printed CA Issue.

AB 2-Pentenylpenicillin (I) was recovered from the medium in which it had been produced by a series of extns. with org. solvents. Details of the procedure and of a pilot plant for the recovery are given. The free acid I had the compn. C14-H20N2O4S; Na salt, [.alpha.]20D 305.degree.. I is a monobasic acid, pK approx. 2.9; both N atoms are nonbasic, but after hydrolysis with dil. acid at 100.degree. over 50% of

the total N appears as .alpha.-amino N. When heated at 80.degree. in acid soln. I evolves 2 mols. CO2; heating in alk. soln. produces less CO2. I with Pd or Pt catalysts takes up 1 mol. of H without loss of antibacterial activity. I Ba salt dissolved in H2O (10 mg./ml.) the Ba removed as sulfate, and the soln. let stand at 37.degree. (pH 2) for 3 hrs., followed by extn. with Et20 and concn. of the aq. layer, yields 75% 2 -pentenylpenillic acid (II), C5H9C:N.CH(CO2H).CH.N.CH(CO2H).CMe2 .S, m. 165.degree. (decompn.), [.alpha.]20D 530.degree. (H2O, c 0.05%), 455.degree. (0.5 N HC1). Electrometric titration of II showed groups with pK 2.4 and 7.8. In addn. there is an acid group with pK less than 2. Heating to 100.degree. in acid soln. or addn. of HgCl2 soln. to an aq. soln. of II causes decarboxylation. II heated with 2,4-(O2N)2C6H3NHNH2 (III) in acid soln. yields glyoxal 2,4-dinitrophenylosazone (IV), m. 318.degree. (decompn.) (from pyridine-alc.). II in N HCl shows absorption max. at 2300 A. (E1%1cm. 200), and at pH 3.3 a max. at 2380 A. (E1%1cm. 192). II in 0.2 N H2SO4 (100 mg. in 5 ml.) heated in a water bath 1 hr., cooled, brought to pH 6-7 with finely powd. Ba(OH)2, the BaSO4 removed by centrifugation, washed, satd. HgCl2 soln. added to the supernatant soln., the HgCl2 complex collected after 30 min., washed with H2O, suspended in H2O, decompd. by H2S, the HgS removed, and the soln. evapd. gave approx. 50 mg. penicillamine-HCl, Me2C(SH)CH(NH2)CO2H.HCl (V. V.HCl was also prepd. directly from I: I Ba salt was inactivated by letting stand in 0.2 N Ba(OH)2 (30 mg./ml.) at 37.degree. for 1 hr., the soln. brought to pH 2 with $\tt H2SO4$, the BaSO4 removed, the soln. extd. 3 times with 1/3 its vol. of Et2O, the aq. phase adjusted to pH 6, satd. HgCl2 soln. added, and V.HCl isolated as before (yield, approx. 20 mg. from 100 mg. of I Ba salt of about 1,000 U./mg. activity). V.HCl (100 mg.) in hot Me2CO (10 ml.), sepd. from any residue, concd. to 1 ml. by boiling, treated with 1 $^{\circ}$ drop concd. HCl, and cooled yielded 50 mg. isopropylidenepenicillamine-HCl (VI), m. 198.degree., [.alpha.]20D 94.degree. (H2O, c 1%). V.HCl was regenerated by heating at 100.degree. in 0.1 N HCl and evapg. the soln. to dryness in vacuo. $\, {\tt V} \,\, {\tt has} \,\, {\tt 3} \,\, {\tt ionizable} \,\, {\tt groups} \,\, {\tt per} \,\, {\tt N} \,\, {\tt atom}, \,\, {\tt with} \,\, {\tt pK} \,\, {\tt values} \,\, {\tt of}$ 1.8, 7.9, and 10.5, corresponding to the carboxyl, .alpha.-amino, and .beta.-thiol groups. It gives pos. tests for a free SH group with FeCl3 and with Na nitroprusside. The N appears as .alpha.-amino N (Van Slyke). On treatment with Br-H2O the SH group of V is oxidized to SO3H; the compd. is called 2-pentenylpenicillaminic acid (VII). VII (14 mg.) dissolved in 0.5 ml. H2O, 200 mg. AgNO2 added, the mixt. treated with 1.14 ml. HCl (d. 1.17) to liberate HNO2, allowed to stand in the dark at room temp. for 6 hrs., the AgCl removed by centrifuging, the supernatant soln. and washings evapd. to dryness in vacuo, the thick, oily residue dissolved in H2O, the pH adjusted to 7.2 with Ba(OH)2, and the soln. evapd. gave 15 mg. of the Ba salt of desaminopenicillaminic acid, C5H9O5NSBa. After removal of the HgCl2 complex of V in either the acid or alk. prepn. of V, treatment of the supernatant soln. with a soln. of III in 2 N HCl gave a pale yellow cryst. ppt., m. 187-8.degree. (from alc.), of the 2,4-dinitrophenylhydrazone of 2-pentenylpenilloaldehyde (VIII) [60 mg. from 80 mg. II in 4 ml. of 0.2 N H2SO4, 45 mg. from 160 mg. of I Ba salt in 5.0 ml. of 0.2 N Ba(OH)2]. The dimedon deriv. of VIII, m. 161-2.degree. (from 30% aq. alc.), was prepd. from a 10% soln. of dimedon in alc. and an aq. soln. of VIII prepd. from alkali-inactivated I as above. VIII was shown to be (3hexenoylamino) acetaldehyde by oxidation with Ag2O to N-3-hexenoylqlycine (IX), m. 110.degree.; Ba salt, m. 212.degree.. Hydrolysis of IX with acid or base for 7 hrs. at 100.degree. (sealed tube) gave glycine; N-(1-naphthylsulfonyl) deriv., m. 150.degree.. Hydrogenation of 20 mg. IX

Ba salt in 1 ml. water over 10 mg. PdCl2-C by bubbling H through the soln. gave N-caproylglycine, identical with synthetic material. Identification of EtCHO as a product of the oxidation of IX with cold aq. KMnO4 established the position of the double bond of the hexenoyl compd. conclusions were verified by the synthesis of IX and of VIII 2,4-dinitrophenylhydrazone. When $44~\rm mg$. II was suspended in water, the pH adjusted to 6 with Ba(OH)2, and satd. HgCl2 soln. added, 1 mole CO2 was evolved. The ppt., removed by centrifuging, washed, suspended in H2O, decompd. with H2S, HgS removed, and the supernatant soln. evapd. gave 41 mg. 2-pentenylpenillamine (X), C5H9-C:N.CH:CH.NCH(CO2H)CMe2SH, [.alpha.]205461 -88.degree.. Oxidation of X with Br-H2O gave VII. X. HCl (9.4 mg.) in a few drops of H2O, treated with excess Br-H2O, then with III in 2 N HCl, gave 5.7 mg. IV. X.HCl (40 mg.) in 4 ml. liquid NH3, treated with small pieces of Na until a permanent blue color developed, the color discharged with a crystal of NH4Cl, 0.015 ml. PhCH2Cl added, the NH3 evapd., the residue taken up in 1 $\,$ ml. water, the insol. material centrifuged, the excess PhCH2Cl removed by extn. with Et2O, and the resulting soln. brought to pH 4 with ${\tt N}$ HCl gave 40 mg. S-benzyl-2-pentenylpenillamine, m. 128.degree. (from hot water). II in 0.2 N Ba(OH)2 kept overnight at 37.degree. gave on acidification 25-30% 2-pentenylisopenillic acid (XI), C5H9C:N.C(CO2H):CH.NCH(CO2H)C(Me)2SH, m. 195-6.degree. (decompn.) (from 70% Me2CO). XI gave pos. tests for free thiol; it was not decarboxylated by boiling with 0.1 N HCl for 1 hr. 2-Pentenylpenicillamine disulfide (XII) was prepd. from V by oxidation with air or iodine: 97 mg. V.HCl in 2 ml. water treated with 0.6 ml. N NaOH and a trace of FeCl3, shaken for 4 hrs. at 37.degree., and Me2CO added to a final concn. of 85% gave 69 mg. XII; 2 ml. V.HCl in 2 ml. H2O shaken with 160 mg. iodine in CHC13 until no further decolorization occurred, the mixt. sepd., the CHCl3 washed once with H2O, the aq. layers brought to pH 7 with NaOH, and Me2CO added to a final concn. of 85% gave 99 mg. XII, m. 160.degree. (decompn.). XII (187 mg.) 1.5 ml. in H2O treated with 207 mg. p-MeC6H4SO2Cl, the mixt. shaken 12-24 hrs. with addns. of N NaOH to keep the pH above 7, then clarified, by centrifugation, extd. twice with Et20 and acidified with N HCl gave 144 mg. bis(p-tolylsulfonyl) deriv. of XII, m. 224-8.degree. (from HOAc). XII was very sol. in H2O and was not reduced to the free thiol compd. with KCN, H2S, or Sn and HCl. It was not oxidized by the D-.alpha.-amino acid oxidase in kidney nor by the cystine oxidase of liver. On standing in soln. at pH 10 for 15 min. I Na salt lost biol. activity. Treatment of the neutralized soln. with HgCl2 soln. gave a ppt. of the HgCl2 complex of V, accompanied by the evolution of CO2. The supernatant soln. then gave with III the 2,4-dinitrophenylhydrazone of VIII. The alkali-inactivation product was 2-pentenylpenicilloic acid (XIII), HN.CH(CO2H).CMe2.S.CHCH(CO2R)NHCOC5H9, R = H. On standing in MeOH, I Na salt became biologically inactive. The product was shown to be .alpha.-Me 2-pentenylpenicilloate (XIV) (XIII, R = Me). XIV with HgCl2 soln. gave a ppt. of the HgCl2 complex of V. Addn. of III to the supernatant soln. gave a ppt. of Me 2-pentenylpenaldate 2,4-dinitrophenylhydrazone (XV), m. 146.degree. (from abs. alc .), identical with the 2,4-dinitrophenylhydrazone of Me formyl (3-hexenoylamino)acetate prepd. by the formylation of Me (3-hexenoylamino) acetate with HCO2Me and MeONa. I is inactivated by the enzyme penicillinase. The product was shown to be mainly XII. It could be split into V and VII with HgCl2 soln.



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AN 1943:8456

DN 37:8456

OREF 37:1424f-i,1425a-i,1426a-b

- Alstonia alkaloids. I. Degradation of alstonine to .beta.-carboline bases ΤI and the reduction of tetrahydroalstonine with sodium and butyl
- UΑ Leonard, Nelson J.; Elderfield, Robert C.
- SO J. Org. Chem. (1942), 7, 556-72
- DTJournal
- LA Unavailable
- 10 (Organic Chemistry)
- CC A study is made of alstonine (I) from Alstonia constricta. I is isolated AB according to a modified method (see following abstr.) of Sharp (C. A. 33, 625.1). To establish definitely its empirical formula, C21H20N2O3, the following salts are prepd.: 2I.H2SO4.2H2O, m. 195-6.degree. and foams 208.degree., [.alpha.]D25 127.degree. .+-. 2.degree. (c 0.492, H2O), [.alpha. M]D25 527.degree.; 2I.H2SO4.4H2O, m. 203-4.degree., [.alpha.]D25 120.degree. .+-. 2.degree. (c 0.548, H2O), [.alpha.M]D25 520.degree.; I.**H2SO4**, m. 243-4.degree. (decompn.), [.alpha.]D25 120.degree. .+-. 2.degree. (c 0.588, H2O), [.alpha.M]D25 535.degree.; 2I.H2PtCL6.H2O, m. 220-1.degree. (decompn.); I.HCl, m. 278-9.degree. (decompn.), [.alpha.]D25 141.degree. .+-. 2.degree. (c 0.422, H2O), [.alpha.M]D25 545.degree.; I.HNO3, m. 252-4.degree. (decompn.); I.HI, m. 270.degree. (decompn.), and I.HC104, m. 239-40.degree. Hydrogenation of I in MeOH in the presence of PrO gives tetrahydroalstonine (II), m. 230-1.degree., [.alpha.]D30 -110.degree. .+-. 2.degree. (c 0.672, CHCl3), [.alpha.]D27 -88.degree. .+-. 2.degree. (c 0.412, pyridine). II and yohimbine (III) give in the Adamkiewicz test a blue to violet color which changes to yellow-green, indicating the presence of a tetrahydro-.beta.carboline ring system in II. I gives only a yellow color. The ultra-violet-absorption curves of II and III are very similar except for an inflection point at about 2500 A. shown by II. When 10 g. I.HCl and 75 g. powd. KOH are fused in a Ni crucible at 300-50.degree. for 1 hr. in a N atm., an insignificant amt. of neutral products and a considerable amt. of acids are formed from which no cryst. compd. is isolated. The basic fraction, amounting to 2.2 g. brown crystals, is purified by chromatographic fractionation, yielding 1.5 g. harman (IV), m. 239-41.degree., which crystallizes in the form of needles and in a more stable form of regular prisms. The picrate of IV m. 257-8.degree. (decompn.), chloroaurate, m. 229.5-30.degree. (decompn.), and benzylidene deriv, m. 204-5.degree.. KOH fusion of 5 g. II under similar conditions gives 350 mg. basic compds., b0.1-0.15 140-200.degree. (bath temp.). Their soln. in C6H6 is chromatographed on Al2O3. Elution of the Al2O3 column with C6H6 and evapn. gives a partially cryst. oil. The crystals, after repeated crystn., give 15 rag. of a base, C17H16N2 (V), m. 171.5-2.5.degree.; picrate m. above 267.degree. (decompn.). V gives no color with vanillin-HCl or with Ehrlich's reagent; its alc. soln. shows strong blue fluorescence and is probably a substituted .beta.-carboline. From the oily portion 10 mg. of a sparingly sol. picrate of a base, C16H18N2, m. 261.degree. (decompn. and foaming), is isolated in addn. to a base of the compn. C17H18N2, the picrate of which (15 mg.) m. 203.5-5.5.degree. (decompn.). Elution of the Al203 with ether gives 100 mg. IV, m. 238-40.degree., and elution with Me2CO gives 10 mg. crude norharman, m. 195.5.degree. after 5 crystns. from C6H6; its picrate m. 262-3.degree. (decompn.). After extn. with ether, and acidification of the original alk. soln., reextn. with ether gives an ext. which in turn is extd. with H2O, 5% NaHCO3, 5% Na2CO3 and finally with 10% NaOH. The ag. solns. are acidified and extd. with ether. While from the H2O ext. an intractable tar and from the Na2CO3 and NaOH solns. no appreciable amt. is extd., the NaHCO3 ext. gives a brown oil which is sepd. into 2 fractions, (a) b0.2 110-70.degree., (b) b0.2 above 170.degree. (bath temp.). Fraction a gives 55 mg. colorless plates after recrystn. from C6H6, m. 205.5-6.degree., and is identical with 2

-indolecarboxylic acid; Me ester, prepd. according to Ciamician and Zatti (Ber. 21, 1929 (1888)), m. 150-1.5.degree. Thermal decompn. of I by heating 3.6 g. for 1 hr. at 310-60.degree. gives a sublimate which is dissolved in ether and the ether soln. extd. with 10% HCl. Evapn. of the ether leaves a small amt. of neutral material which gives a blue-violet color with Ehrlich's reagent but is not investigated further. From the aq. ext., 3 bases are isolated by fractionated crystn. of their picrates: (c) C17H18N2.C6H3N3O7, yellow monoclinie prisms, m..degree. (decompn.); (d) C18H2ON2. or C19H22N2.C6H3N3O7, long yellow needles, m. 193.5-5.degree.; and (e) C13H12N2.C6H3N3O7 (VI), small fine needles, m. 261-2.5.degree. (decompn.). The free base (VII) of VI m. 79-81.degree.; HCl salt turns brown at 227.degree. and decamps. at about 275.degree.; methiodide m. 283-4.degree. (decompn.). As the empirical formula of VII corresponds to an ethyl- or dimethyl-.beta.-carboline, and since its phys. properties show similarity to carboline, 1-ethyl-.beta.-carboline (VIII) is synthesized. N-Nitrosoethylaniline, b17 125-6.degree. is converted into PhNEtNH2 (IX), b13.5 114-16.degree., nD26 1.5642, according to Fischer (Ann. 236, 198(1886)). PhNMeNH2 prepd. in the same way in 52-6% yield b13 106-9.degree.. Benzylidene deriv. of IX m. 49.degree.. When 40 g. $H2N(CH2)3CH(O\tilde{E}t)2$, b11 85.degree., n 1.4266, prepd. according to Manske (C. A. 25, 4880) and 35 g. IX are condensed in the presence of ZnCl2 and the reaction product is fractionally distd. at 2 mm., 380% 1-ethyltryptamine (X), b2 170-1.degree., nD261.5821, is obtained; its phthalamide m. 149-50.degree.; picrate m. 178.5-80.5.degree.. Treatment of 4 g. X in dil. **H2SO4** with HCHO (cf. Spaeth and Lederer, C. A. 24, 2464) gives 1-ethyl-2,3,4,5-tetrahydro-.beta.-carboline (XI), isolated as the picrate in 82% yield, m. 224-5.degree.. XI is not obtained in a cryst. form; its p-nitrobenzamide m. 146-8.degree.. Dehydrogenation of XI with Pd black at 160-70.degree. for 45 min. gives VIII, m. 41-2.degree.; picrate m. 227-8.degree.; methiodide m. 293-5.degree.. VIII is not identical with VII. Norharman-EtI, m. 198-9.degree., when treated with NaOH, gives 3-ethyl-.beta.-isocarboline, m. 176.5-8.5.degree.; ethiodide m. 213.5-15.degree.. Ethiodide of VIII m. 213.5-15.degree.. 2-Ethyl-.beta.-carboline, prepd. by heating tryptophan in dild. H2SO4 with EtCHO, m. 193-5.degree.. In distn. of I is carried out in a H atm. and in air at 300-50.degree.. The HCl soln. of the distillate, after being extd. with ether, is made alk. and again extd. with ether. The residue of the ether soln. is twice distd. at 0.2 mm. and 140-80.degree. (bath temp.). The distillate (300 mg.) is dissolved in C6H6 and chromatographed, giving a product the picrate of which m. 261-3.degree. and is identical with VI. Reduction of II with Na in boiling BuOH gives hexahydroalstonine, C20H26N2O2 (XII), m. 282-4.degree. (decompn.), [.alpha.]D27 -78.degree. .+-. 3.degree. (c 0.338, pyridine); picrate m. 237-8.degree. (decompn.). XII is not obtained on reduction of I under the same conditions. Ac deriv. (XIII) of XII, C22H28N2O3 m. 95-6.degree.; picrate of XIII, m. 223-4.5.degree.. XII has an absorption curve which is identical with that of III and 2,3-dimethylindole. All m. ps. cor. A partial structure for I is discussed.

X

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AN 1923:2093 HCAPLUS

DN 17:2093

OREF 17:386c-i,387a-b

TI Fluorene series. VII. Dibenzofulvene

AU Sieglitz, A.; Jassoy, H.

SO Ber. (1922), 55B, 2032-40

DT Journal

LA Unavailable

CC 10 (Organic Chemistry)

AB cf. C. A. 16, 1088 On attempting to decomp. [fluorenyl-9-methyl]-urethan (A), (C6H4)2CHCH2NHCO2Et, into the amine (C6H4)2CHCH2NH2, which it was intended to convert into dibenzofulvene (B), (C6H4)2C:CH2, by the Hofmann de- gradation, S. and J. found to their surprise that 6 q. A distd. with CaO in H under 20- 30 mm. yielded directly a small amt. (0.2-0.3 g.) of B, long needles, m. 46-8.degree., quickly decolorizes Br in CHCl3, can be recrystd. unchanged from a little MeOH by quick work but on standing the soln. soon becomes turbid and deposits insol. high-melting flocks; the solid B likewise quickly changes, with absorption of O, into amorphous high-melting products; on oxidation it yields fluorenone. Picrate, orange-red, m. 152-3.degree.. In the prepn. of B there remains in the neck of the retort an amber-like insol. resin, softens 255.degree., m. 290.degree., the compn. of which indicates that it is a polymer of B. Ethyl 2,7- dibromo-9-hydroxyfluorene-9-acetate (C), from 2,7-dibromofluorenone (D), BrCH2CO2Et and Zn (etched with HBr) in C6H6, leaves from C6H6 or ligroin, m. 106-7.degree., sapond. by hot concd. KOH to the free acid, fine needles from tetralin-PhMe, m. 205-6.degree., sol. in concd. H2SO4 with deep red color and yielding on diln. with H2O dibromodibenzo- fulvenecarboxylic acid, whose Et ester (E), m. 172-3.degree., is obtained in 13 g. yield by heating at 100.degree. under 18 mm. the C prepd. from 16.7 g. of D; 6.5 g. of the Et 2,7-di- brormofluorene-9-acetate prepd. as described before from E gives when refluxed 12 hrs. with 4.2 g. Of 90% N2H4. H2O 5 g. of the hydrazide, needles from alc., m. 247-8.degree.; acetone hydrazone, C18H16ON2Br2, needles from PhMe, m. 234-5.degree.; benzaldehyde hydrazone, needles from xylene, m. 256-7.degree.. [2,7-Dibromofluorenyl-9methyl]urethan, from 2 g. of the hydrazide in 40 cc. AcOH cautiously treated with 0.4 g. NaNO2 in 20 cc. H2O, the resulting azide (1.7 g. after drying) being then boiled 8 hrs. with an excess of alc., needles from alc., m. 191-2.degree.. This on distn. with CaO like A above yields neither the amine nor 2,7-dibromobensofulvene (F), which, however, was obtained as follows: D with MeMgJ in Et2O gives 9-methyl-9-hydroxy-2,7-dibromofluorene, Prisms from C6H6, m. 162-3.degree., which in boiling AcOH with concd. HCl yields the 9-methyl-9-chloro derivative, fine needles from alc., m. 182-3.degree.; distn. of this in vacuo does not give F but 9methyl-2,7-dibromofluorene, m. 136.degree., but the F, fine needles from C6H6 or abs. alc., m. 205-6.degree., is obtained when the chloride is boiled 5 min. in AcOH; it quickly decolor-izes Br in CHCl3, forms in AcOH a clear soln. which after several days deposits insol.flocks, is stable for several days in vacuo, forms no picrate in alc. 9-Ethyl-9-hydroxy-2,7-dibromofluorene, from D and EtMgBr, rodlets or stout prisms from C6H5, m. 133-4.degree.;9-ethyl-9-chloro compound, needles from alc., m. 171.degree., gives, when refluxed 3 hrs. in AcOH, .omega.-methyl-2,7-dibromodibenzofulvene, woolly needles from AcOH, m. 130-1.degree., decolorizes Br in CHCl3 and is stable indefinitely. 9-Propyl-9-hydroxy-2,7-dibromo-fluorene, obtained in only 0.3 g. yield, together with higher melting substances, from 1 g. Mg, 3 g. PrBr, 15 cc. Et2O and 5 g. D, hard plates from MeOH, m. 150-1.degree.. 2,7-Dibromo-9-hydroxyfluorene, from D with Al-Hg in Moist Et2O, needles from AcOH, m. 168.degree.. [9-Benzal-2,7-dibromo-fluorenel-2 '-carboxylic acid, (C6H3Br)2C:CHC6H4CO2H(0.2 g. from 1 g. D and 0.46 g. o-OHCC6H4CO2H in boiling alc. with 0.5 g. Na), yellow needles from AcOH, softens 218.degree., m. 224.degree.; ethyl ester, felted yellow needles, m. 140.degree.. 3',4'-Dimethox) [9-benzal-2,7-dibromofluorene]2'-carboxylic acid, from opianic acid and D, dull yellow microrodlets from AcOH, m. 249-50.degree.; ethyl ester, deep yellow spears from alc., m. 135.degree., reduced by activated Al in Et20 to the dihydro ester, needles from

alc., m. 90. degree..

ANSWER 14 OF 15 HCAPLUS COPYRIGHT 2002 ACS L35 1919:7000 HCAPLUS ΑN DN 13:7000 OREF 13:1310f-i,1311a-i,1312a-i,1313a-b .alpha.,.epsilon.-Dialdehydes and .alpha.,.epsilon.-ketoaldehydes, and ΤI their conversion into .delta.-lactones. Constitution and method of formation of amaric. acid, diethylcarbobenzonic acid and allied compounds ΑU Meerwein, Hans; Klinz, Jos.; Dott, Hans SO J. prakt. Chem. (1918), 97, 225-87 DT Journal LA · Unavailable CC 10 (Organic Chemistry) For diagram(s), see printed CA Issue. GΙ .alpha.,.epsilon.-Dialdehydes and, .alpha.,.epsilon.-ketoaldehydes have AΒ been hitherto unknown, with the exception of glutardialdehyde (cf. Harries and Tank, C. A. 2, 2375). Representatives of these 2 classes of compds. can be easily prepd. (1) by addition of desoxybenzoin (a) to .alpha.,.beta.-unsatd. aldehydes: PhCH2Bz + RCH:CHCHO = PhCH(Bz)CH(R)CH2CHO, and (2) by addition of PhCH2CHO to .alpha.,.beta.-unsatd. ketones and aldehydes: PhCH2- CHO + RCH:CHCOR' = Ph(CHO)CHCH(R)CH2COR'. A. Addition of desoxy-benzoin to .alpha.,.delta.-unsaturated aldehydes. With Jos. Klinz. When 1-2 cc. of a concd. soln. of MeONa in MeOH was added to a mixt. of 132 g. cinnamaldehyde and 19.4 g. of (a) in 80 cc. MeOH at 5 and the mixt. allowed to stand for 2 hrs., 32-5 g. of .beta.- phenyl-.beta.desylpropionaldehyde (the term "desyl" is applied to the group BzCHPh-), BzCHPhCHPhCH2CHO, were obtained, fine needles from AcOH, m. 176.5-7.degree., and decompg. on slow heating. Its constitution was proven by its oxidation by means of either CrO3 + AcOH, or concd. HNO3 + AcOH, with the formation of the corresponding propionic acid derivative (b), cryst. powder, m. 240-1.degree., which was identical with that described and prepd. according to the method of Klingemann and called by him .beta.-dehydroamaric acid (cf. Ann. 275, 76(1893)). For further confirmation of its structure it was prepd. by the action of (a) in MeOH on Me benzylmalonate and NaOMe, and hydrolysis of the resulting product by boiling with equal parts of AcOH and HBr. (b) is converted into .beta.,.gamma.,.delta.-triphenylvalerolactone (.alpha.-amarolactone) by boiling with NaOEt in alc., needles from alc. or AcOEt, m. 140.5-1.degree.. By using KOH in dil. alc., the lactone which forms is hydrolyzed with the formation of .beta.,.gamma.,.delta.-triphenyl-.delta.-hydroxyvaleric acid, needles + 1 H2O from dil. alc., m. 140-5.degree., with re-formation of the lactone. Both the acid and the lactone have been described by Zinin (cf. Jahresber. 30, 81((1877)) under the names amaric acid and amaric anhydride, and their constitutions are now made clear by their formation. in the above manner from .beta.-phenyl-.beta.-desylpropionaldehyde. The older name amaric acid is retained, while the lactone is called .alpha.-amarolactone. To distinguish between the 2 stereoisomeric forms, the acid m. 140-5.degree., and giving the lactone m. 141.degree., is called .alpha.-amaric acid. When (b) is reduced with Na-Hg and the product heated in vacuo (to convert it entirely into the lactone) beta.-amarolactone, m. 168-70.degree., is obtained, which, when dissolved in alc. KOH and then acidified with AcOH, yields .beta.-amaric acid. The latter loses H2O at 156.degree., giving the original .beta.-lactone, but on oxidation with CrO3 + AcOH is converted back into The compd. obtained by Klingemann by heating the .alpha.-lactone with 25% alc. H2SO4 at 100.degree., and m. about 170.degree., is now shown to be anisomeric .gamma.-amaro lactone, which on

oxidation with CrO3 + AcOH yields .gamma.-amaric acid, lustrous needles, m. 173.degree.. On fusion it is transformed into (b). EtCH :CHMeCHO and (a) in the presence of a little NaOMe form .alpha.-methyl-.beta.-desylvalerylaldehyde, oil which decomp.cxa. s. on heating. It is readily converted by NaOMe in MeOH into .alpha.-methyl-.beta.-ethyl-.gamma.,.delta.-diphenylvalerolactone, large needles, m. 152.degree.. Yield, 78%. In small amts. it b16 248-9.degree.. By adding cautiously dil. H2SO4 to the lactone in alc. NaOH the corresponding hydroxy id is formed, prismatic needles, m. 136-7.degree. (decompn.). The lactone is identical with the "dipropylcarbobenzonic acid" obtained by Zagoumenny (Ann. 184, 166(1877)) by heating (a) with KOH in PrOH at 150.degree. Associated with this was an isomeric compd. which M. and K. have now isolated both by Zagoumenny's method and also by heating dipropylcarbobenzonic acid with alc. KOH at 160.degree.. The compd. m. 152.degree. is called .alpha.-dipropylcarbobenzonic acid, and the isomer the corresponding .beta.-acid. The latter is dimorphic, forming fine needles and octahedrons, m. 92-3.degree.. On oxidation the .alpha.-acid yields the same .alpha.-methyl-.beta.-desylvaleric acid (c) as that obtained by oxidation of the above corresponding aldehyde, fine needles from dil.AcOH, m. 141-3.degree.. When it is dissolved in NH4OH, and NaOH is added, an oily sodium salt seps. which on warming changes into an isomeric sodium salt, shining leaves, which on acidifying with AcOH yields a stereoisomeric .alpha.-methyl-.beta.-desylvaleric acid, m.169-71.degree., fine needles. The .beta.-acid, m. 92-3.degree., on the other hand yields on oxidation another isomeric valeric acid derivative, m. 184.5-5.degree., prismatic needles which are much more difficultly sol. than the other 2 isomeric acids. On reduction with Na-Hg (c) does not yield the original .alpha.-dipropylcarbobenzonic acid, but 2 new isomeric lactones, .gamma.-dipropylcarbobenzonic acid, m. 82-4.degree. (needles from petr. ether), and the .delta.-acid, m. 134.degree., needles from alc. MeCH: CHCHO and (a) yield .beta.-desylbutylaldehyde, oil which decomps. on boiling. Its constitution is shown by its conversion on oxidation into .beta.-desylbutyric acid, needles from alc. or CS2, m. 134-6.degree.. It was also prepd. from (a) and Me ethylidenemalonate. On warming with 2% NaOH it is converted into the isomer (d) (see below), while on boiling it loses H2O and yields the unsatd. lactone, PhCH.CH2.CO.O.CPh:CPh, lustrous, rhombohedral prisms, m. 104-6.degree.. .beta.-Desylbutylaldehyde is converted by aq. KOH into .beta.-methyl-.gamma.,.delta.-diphenylvalerolactone (.alpha.-di-ethylcarbobenzonic acid) (cf. Limpricht and Schwanert, Ann. 155, 66(1870)); Zagoumenny, Ann. 184, 163(1877)), needles from dil. alc ., m. 103-4.degree.. On oxidation it yields a .beta.-desylbutyric acid (d), m. 153.5-4.5.degree., needles from alc. The 3rd isomer of this acid obtained by Limpricht and Schwanert, and also by Anschutz and Berns (Ann. 261, 301(1891)), has probably the constitution MeCH.CH2.CO.O.CPhCH2Ph. .beta.-Desylpropionaldehyde prepd. from (a) and CH2: CHCHO, bright yellow oil, which on oxidation gave .beta.-desylpropionic acid in 41% yield (based on the original (a)), long needles m. 133-4.degree. (cf. Knoevenagel, Ber. 21, 1351(1898)). It is not converted by alkalies into a lactone, but on reduction of the aldehyde .qamma.,.delta.-diphenylvalerolactone is formed (yield quant.), needles from alc., m. 113-4.degree. B. Addition of phenylvalerolac to .alpha.,.beta.-unsaturated aldehydes and ketones. With Hans Dott. .beta.,.gamma.-Diphenylvalerolactone, obtained by the action of PhCH2CHO on PhCH: CHCHO at 0.degree., then making the mixt. strongly alk. with NaOMe, needles + 1C6H6 from C6H6 + petr. ether, m. 123-3.5.degree.. The intermediate aldehyde was not isolated. sociated with the lactone was a small amt. of a compound m. 134-6.degree., rhombic plates from C6H6 + petr. ether. The lactone is readily converted by oxidation with KMnO4

into .alpha.,.beta.-diphenylglutaric acid, shining prisms, m. 203-4.degree.; methyl ester, aggregates of needles from dil. alc ., m. 84-5.degree.. The above glutaric acid deriv. (cf. Borsche, C. A. 4, 590; Avery and Dole, C. A. 2, 1829) on fusion changes into a stereoisomeric form, m. 231-2.degree. (when quickly immersed in a bath previously heated to 235.degree.). It is best characterized by its methyl ester, prisms from alc., m. 143.degree.; diethyl ester, m. 93-4.degree.. On reduction the above lactone yields .beta., .gamma.diphenylvaleric acid, lustrous, prismatic needles from CCl4 + ligroin, m. 109.degree.; sodium salt. By treating the reaction mixt. obtained from PhCH2CHO and PhCH: CHBz at 0.degree. with NaOMe, .alpha.,.beta.,.delta.tripheny-.delta.-hydroxyvaleric acid is obtained in 30% yield, lustrous needles from alc. or C6H6 + petr. ether, m. 43-3.5.degree.; sodium salt. Associated with this acid were 2 compounds sol. in Et2O, giving deep red solns. with warm alc. KOH, which on shaking with air are quickly decolorized, the color reappearing on warming. probably belong to the class of pyranoles. The above OH-acid is readily converted by heating in vacuo at 150.degree. into .alpha.,.beta.,.delta.triphenylvalerolactone (e), hairy needles from alc., m. 138-9.degree., and when oxidized by KMnO4 yields .alpha.,.beta.,.delta.triphenyl-.delta.-ketovaleric acid, needles from alc., or AcOH, m. 186-7.degree.; it is purified and isolated in the form of the difficultly sol. methyl ester, long needles from alc., m. 157-8.degree.. An acid of the same compn. was obtained by Borsche which m. about 240.degree.. In order to explain the apparent discrepancy in the m. p. it was prepd. by Borsche's method from PhCH2CO2Me and PhCH: CHBz, and 2 isomeric acids were obtained, one identical with the above m. 186-7.degree., the other isomer m. 260-1.degree., needles from AcOH. The former partly changes into the latter acid on prolonged heating at 200-20 degree., while some of it loses H2O with the formation of an unsatd. lactone. On reduction with red P and HI, (e) yields .alpha.,.beta.,.delta.-triphenylvaleric acid, PhCH(CO2H)CHPhCH2CH2Ph, needles from alc., m. 174-5.degree.; sodium salt. Like .alpha.-amarolactone (e) is also transformed by mineral acids into an isomeric lactone, prisms + 1 AcOH from AcOH, m. 124.degree.. At 100.degree. in vacuo it loses AcOH and m. 174.degree.. When pptd. from an alk. soln. with dil. H2SO4 it yields an .alpha.,.beta.,.delta.-triphenyl-.delta.-hydroxyvaleric acid (prisms + 1 EtOH from alc., m. 155.degree.), isomeric with the one m. 143-3.5.degree.. .alpha.,.beta.-Diphenyl-.gamma.-carbethoxy-.delta.ketocapronyl aldehyde, AcCH(EtOCO)CHPhCHPhCHO, prepd. from PhCH2CHO and Et benzalacetoacetate, rhombic tablets + 1 H2O, from dil. alc. It dissolves slowly in alc. KOH, and on acidifying with dil. H2SO4 a thick oil seps. which gives an intense violet color with FeCl3. On distn. in vacuo it decomps., giving AcCH2CO2Et and .alpha.-phenylcinnamyl aldehyde, large crystals from alc., m. 94.degree. For comparison, the latter was synthesized from PhCH2CHO and BzH; oxime, leaves from alc., m. 165-6.degree.; phenylhydrazone, yellow needles, m. 125-6.degree.. The capronyl aldehyde is converted by 5% alc. HCl at room temp. into a compound, m. 79-81.degree., lustrous prisms from petr. ether, very stable toward alkalies, gives no color with FeCl3, and loses 1 H2O on distn. in vacuo, forming an unsatd. compound, m. 129-30.degree., needles from alc . or Et20. The compd., m. 79-81.degree., is supposed to be a deriv. of .alpha.,.alpha.-dihydroxytetrahydropyrane. C. Addition of anthrone to .alpha. - and .beta. -unsaturated ketones and esters. With Jos. Klinz. Methyl anthronebenzalmalonate prepd. from anthrone and PhCH:C(CO2Me)2 + few drops piperidine, prisms, m. 147.degree.. which on hydrolysis gave .beta.-anthronyl-.beta.-phenylpropionic acid, HO2CCH2CHPhCH.C6H4.CO.C6H4, prisms from alc., m. 195-7.degree.. Ethyl

anthronebenzalacetoacetate, similarly prepd., m. 148-9.degree., needles from alc. Anthrone and PhCH: CHAc likewise gave anthronebenzylideneacetophenone, needles from alc. or AcOH, m. 115-6.degree..

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 $\mathbf{I}T$ Attempted scission of racemic alcohols by means of camphoric anhydride

ΑU Mascarelli, L.; Deliperi, Daria

CS Univ. Cagliari

SO Gazz. chim. ital. (1916), 46(I), 416-30

DT Journal

LA Unavailable CC 10 (Organic Chemistry) For the theoretical and introductory part of this paper cf. C. A. 11, 789. AΒ The attempts to esterify camphoric anhydride with decahydro-.beta.naphthol were not prolonged owing to anomalies in the reaction. The esterification of PhEtCHOH (a) with camphoric acid and its anhydride was more accurately studied. Tests with PhMeCHOH (b) showed that it reacts the same as (a). Both (a) and (b) were prepd. by Grignard's reaction from BzH. The 1st test was made by fusing (a) with camphoric anhydride (c); secondary products were formed which complicated the sepn. of the unknown esters too much. Heating 6 g. (a) + 2g. camphoric acid together in a current of HCl gas gave a product partially sol. in alkalies. The sol. part was repptd. with HCl, extd. with petr. ether in order to sep. the product from unchanged camphoric acid. The residue on evapg. the ext. was a dense yellow oil which gave titration values for the .alpha.-mono ester, HO2CC8H14CO2CHEtPh but which (0.3 g.) could not be purified. Boiled under atm. pressure it decomps., giving (c); it is not volatile with steam, its Ba salt darkens at 160.degree. and blackens above 300.degree.. The part insol. in alkalies was extd. with Et2O and when fractionally distd. showed the presence of PhCHClEt which tends to lose HCl in part to give PhCH: CHMe. The distillate is a mixt. of these 2 compds., the latter of which tends to polymerize. Although the expts. were repeated variously no high boiling fraction could be obtained. 4 g. camphoric acid + 15 g. (a) + 5 g. H2SO4 gives a semi-liquid brown mass from which the part sol. in alkalies as above constituted a very poor yield of the mono ester. The part insol. in alkalies was treated as above and the 11 g. of yellow-brown oil fractionated under 34-40 mm. into 8 fractions, the 1st fraction of which consisted mainly of PhCH: CHMe mixed with unchanged (a). The upper fractions correspond in compn. to polymers of PhCH:CHMe. Normal esterification scarcely took place at all with H2SO4; it dehydrates (a) to produce PhCH: CHMe which is polymerized by heat and H2SO4. The simple ether (EtPhCH) 20 may also be formed (Oddo, C. A. 5, 2639). Treating Ag camphorate with PhCHClEt for 4-5 hrs. on the H2O bath gave a small yield of the mono ester. The part insol. in alkalies was not studied in detail. 2.6 g. (c) + 10 g. (a) were heated in a sealed tube in a H2O bath for 2 days, or 9 hrs. at 150.degree.. The product was distd. with steam. The part volatile was fractionated and gave 2 slightly d-rotatory fractions and 1 inactive one. The part non-volatile with steam was not fully sepd. into its constituents but the results indicate that much mono ester was present which on distn. gives the neutral ester and camphoric anhydride. 5.2 g. (c) + 20 g. (a) in a sealed tube at 200.degree. for 10 hrs. gave a product entirely sol. in Et2O, which was agitated with dil. NaOH soln. The part sol. in alkalies was repptd. with H2SO4, extd. with petr. ether from



which about 5 g. of impure mono ester was obtained. The part insol. in alkali was fractioned as before; the 1st fractions contained much PhCH:CHMe; the middle fractions contained some unchanged (a) also; the higher fractions contained only (a). The last 2 of the 5 fractions were slightly d-rotatory. This and the previous expt. show that the velocity of esterification of the 1-form of (a) is somewhat greater than that of the d-form. The same result was obtained with (b).